



Wagering Licensing & Regulation Department 400 Epsom Road

> Flemington VIC 3031, Australia Telephone: +61 3 9258 4667

Email: b.admin@racingvictoria.net.au

APPLICATION FOR A COMPANY CLUB BOOKMAKER'S LICENCE

(From 1 August 2024 to 31 July 2025)

Applications must be lodged via email to <u>b.admin@racingvictoria.net.au</u> or post to:

Racing Victoria

Attention: Wagering Licensing & Regulation Department

400 Epsom Road, Flemington, VIC 3031

APPLICATIONS INCLUDING - INCLUDING FINANCIAL STATEMENT AND ANNUAL TICKETING FORMS - FINANCIAL STATEMENTS AND TICKET FORMS MUST BE RECEIVED BY THE WAGERING LICENSING & REGULATION DEPARTMENT ON OR BEFORE FRIDAY 28 JUNE 2024. A FEE OF \$100 WILL APPLY TO BOOKMAKERS WHO PROVIDE THESE DOCUMENTS AFTER THIS DATE.

Steps to completing this Application Form:

- Step 1: Complete SECTION 1: APPLICATION TYPE
- Step 2: Complete SECTION 2: ABOUT THE COMPANY
- Step 3: Complete SECTION 3: AREA ALLOCATION AND VENUES
- Step 4: Complete SECTION 4: MODES OF BETTING
- Step 5: Complete SECTION 5: BETTING SYSTEMS
- Step 6: Read SECTION 6: PRIVACY COLLECTION STATEMENT
- Step 7: Read SECTION 7: PROVISION OF BETTING RECORDS
- Step 8: Complete SECTION 8: BOOKMAKER PRIVACY OBLIGATIONS
- Step 9: Read and sign SECTION 9: DECLARATIONS

Before submitting the Application Form:

- Step 10: Prepare Supporting Documentation listed in SECTION 2 (ABOUT YOU), PART 6 (Supporting Documentation and Financial Statement).
- Step 11: Complete Financial Statement Form (available from www.racingvictoria.net.au)
- **Step 12:** Complete an Off-course Premises Form (where applicable, available from www.racingvictoria.net.au)

Submitting Application:

Step 13: Deliver the completed Application Form, the Supporting Documentation, the completed Financial Statement Form, and the completed Off-course Premises Form (if necessary) to Racing Victoria via email or post (see **details above**)

If you wish to operate as an **Individual** or a **Partnership**, please do <u>not</u> complete this form. Please complete the **APPLICATION FOR INDIVIDUAL** or **APPLICATION FOR PARTNERSHIP** located on Racing Victoria's website.

PURPOSE OF THIS FORM

This form will enable Racing Victoria to make an assessment as to whether they will issue you a Club Bookmaker's Licence.

In Victoria operating as a lawful bookmaker requires a two-step process:

1. BOOKMAKER REGISTRATION AND GUARANTEE

A person applies to the Victorian Gambling and Casino Control Commission (VGCCC) to become "registered" as a bookmaker.*

As part of that registration process, the person must have a **guarantee** which meets the requirements of section 94A of the *Racing Act 1958* (Vic).

This can be accomplished in two ways: (1) become a member of the Victorian Bookmakers' Association and contribute to their guarantee fund; (2) make alternative arrangements with the VGCCC.



2. BOOKMAKER LICENCE

A registered bookmaker then applies to Racing Victoria, as a principal racing authority, to enable them to lawfully commence bookmaking operations.

* please refer to the VGCCC <u>website</u> for more information.

SECTION 1: APPLICATION TYPE

1.	. <u>Application Type (All applicants)</u>		
	Pleas	e select if this is a new or renewal application:	
		New	
		Renewal	
2.	<u>Decla</u>	ration (All applicants)	
	We he	ereby apply for and on behalf of . (Company) for a Club	
	Bookr	maker's Licence for the period 1 August 2024 to 31 July 2025 in respect of the	
	Venue	es specified in <u>SECTION 3</u> of this Application Form.	
	This a	pplication is deemed to be:	
	(a)	a separate application by the Company to act as a bookmaker on a Racecourse; and	
	(b)	separate applications by each of the shareholders and directors of the Company to permit each of them to carry on the business of bookmaking on behalf of the bookmaking Company on a Racecourse as a Bookmaker.	
	applic	All applicant(s) must complete the Financial Statement enclosed with this cation (Applications will only be processed upon receipt of the Financial ments).	
3.		you applied for another licence with Racing Victoria this Licence Term (1 st 2024 - 31 July 2025)? (All applicants)	
		No	
		Yes, please specify:	
4.	_	ou operate, are an associate of or otherwise involved in another bookmaking ess in Victoria or another Australian State or Territory?? (All applicants)	
		No	
		Yes, please specify:	
5.	<u>Class</u>	of Licence (All applicants)	
	to wh	are four classes which may attach to your Licence. These Licence Classes relate ere you want to operate your bookmaking business. <u>Please be careful to tick</u> he Licence Classes that apply to you. For example, if you intend to work the	

Metro Rails and Country tracks, you will have to tick BOTH Class R and Class C. If you are unsure which class applies to you, please see <u>SECTION 3</u> of this Application Form

or contact the Wagering Licensing & Regulation Department via email (b.admin@racingvictoria.net.au).

Please select one or more of the following:

Class C (Country)	This Licence Class permits the Bookmaker to take bets from customers at any "country" (non-metropolitan) racing venue.
Class R (Rails)	This Licence Class permits the Bookmaker to take bets from customers on the "rails" at any metropolitan racing venue.
Class O (Other)	This Licence Class permits the Bookmaker to take bets from customers at any metropolitan racing venue on the "paddock/ring" or "other" areas not already covered by Class R.
Class I (Internet)	This Licence Class entitles the Bookmaker to take bets from customers over the internet.

6. Intended Launch Date (New applicants only)

Please indicate when the Company intends to launch the bookmaking business:

SECTION 2: ABOUT THE COMPANY

i. Company details (All applicants MOST complete)	 Company details (All applicants MUST
---	--

Please provide the following information:

Name of Company	
ACN	
Registered Address	
Postal Address	
(if different from registered)	
Phone	
Email	

2. <u>Details of each Company Director (All applicants MUST complete)</u>

Please provide the following information for each director, you may attach additional pages if insufficient space provided within this application:

	DIRECTOR 1
Bookmakers Licence No. (for prior holders of a Racing Victoria Bookmaker's Licence only)	
Bookmaker's (Your) Full Name	
Date of Birth (Must be at least 18 years of age)	
Residential Address	
Postal Address (if different from residential)	
Phone	
Email	
Usual Occupation	
Usual Employer	
Employer's ABN	

DIRECTOR 2

	DIRECTOR 3
Bookmakers Licence No. (for prior holders of a Racing Victoria Bookmaker's Licence only)	
Bookmaker's (Your) Full Name	
Date of Birth (Must be at least 18 years of age)	
Residential Address	
Postal Address (if different from residential)	
Phone	
Email	
Usual Occupation	
Usual Employer	
Employer's ABN	

		DIRECTOR 4			
	Bookmakers Licence No. (for prior holders of a Racing Victoria Bookmaker's Licence only)				
	Bookmaker's (Your) Full Name				
	Date of Birth (Must be at least 18 years of age)				
	Residential Address				
	Postal Address (if different from residential)				
	Phone				
	Email				
	Usual Occupation				
	Usual Employer				
	Employer's ABN				
3.	5. <u>Details of each Shareholder (All applicants MUST complete)</u>				
Please complete the attached <u>SCHEDULE A</u> .					
4. <u>Bookmaking registration (All applicants MUST complete)</u>					
	Please provide the following	g information:			
	,	d company under Part 5, aker and bookmaking related ing Regulation Act 2003 (Vic)?	Yes □	No 🗆	
	Is the approval under the above valid for the whole of the Club Bookmaker's Licence period (being the period from 01 August 2024 - 31 July 2025)?				
	Are any of the shareholders in the Company registered bookmakers who have been approved by the Victorian Gambling and Casino Control Commission to conduct the bookmaking business of the Company?			No 🗆	
	If the answer to question 3 numbers of the registered	was 'yes' please list the names a bookmakers:	and registra	ation	
	1.				
	2.				

3.		
4.		
If you answered NO to any of the above que or a Club Bookmaker's Licence and this App contact the Wagering Licensing & Regulatio	lication cannot be pro	ocessed. Plea
Membership with the Victorian Bookmakers' A (All applicants MUST complete) Please provide the following information:	<u>Association</u>	
Is each director a member of the Victorian Boo Association (VBA)?	kmakers' Yes 🗆	No □
If <u>each director is</u> a member of the VBA, what membership class do they hold? 2. 3.		,
3. 4.		
 5.		
If <u>each director is not</u> a member of the VBA, had director separately organised a guarantee to save requirements of section 94A of the <i>Racing Act</i>	tisfy the	No 🗆
(Please note that if you answered NO to this quare not eligible to apply for a Club Bookmaker's and this Application cannot be processed)		
Are you utilising the VBA's Responsible Gambli Conduct?	ng Code of Yes 🗆	No □
(Please note that if you answered NO to this que will be required to provide a copy of your responsabiling policy)	. •	

6. Experience (New applicants only)

Please complete all the tables below that are relevant to each director's industry experience. You may attach additional pages if insufficient space provided within this application:

A. EXPERIENCE AS A BOOKMAKER Please complete the following table regarding each director's <u>current or prior experience</u> operating <u>as a bookmaker</u> (if applicable).				
State/Territory (Australia) or overseas country	Licence/Registration Number	Trading Name	Period of Operation (mm/yy)	

B. EXPERIENCE WITH AN ONCOURSE BOOKMAKER Please complete the following table regarding each director's industry experience being <u>employed by</u> an oncourse bookmaker (if applicable).				
Bookmaker Name	Job Title	Period of Employment (mm/yy)	Number of Race Meetings Worked	

Please tick <u>all</u> that apply in relation to the role(s) included in table B:						
	Operating keyboard or ledger					
	Cash management, including collection and payment					
	Customer dispute res	olution				
	Assisting the managir	ng bookmaker during race da	y operations			
	Liability management	or hedging (laying off)				
	Other (please specify):				
P e o	C. EXPERIENCE WITH A <u>CORPORATE</u> OR <u>ONLINE</u> BOOKMAKER Please complete the following table regarding each director's industry experience being <u>employed by</u> a corporate bookmaker or a bookmaker that operates via the internet (if applicable).					
Book	maker Name	Job Title	Period of Employment (mm/yy)			
Pleas	Please tick <u>all</u> that apply in relation to the role(s) included in table C:					
	Phone operations					
	Trading (hedging or la	aying off)				
	Analytics (wagering)					
	Business development management (client origination)					
	End-to-end affiliate (client) management					
	Customer dispute resolution					
	Race day operations					
	Responsible gambling					
	Anti-money laundering and counter terrorism financing					
	Other regulatory requirements					
	Other (please specify):					

7. Probity Questions (All applicants MUST complete)

Please answer the following questions. Please note that certain terms in these questions are defined on $\underline{13-14}$.

A.	New applicants only: Considering the definition of Associate below, please list all the people the (first and last names) the Company considers to be their Associates:		
B.	Renewal applicants only: Do you consider that your Associates (as defined	Yes □	No □
	below) have changed since last the application?	If <i>Yes</i> , please list all the Associates:	
C.	Is the Company, shareholders or Associates currently under any	Yes □	No □
	ban/restriction imposed by any Racing Authority, either in Australia or another jurisdiction?	If <i>Yes</i> , please explain the circumstances:	
D.	Has any Associate been subject to any past, current, pending, or threatened	Yes □	No 🗆
investigation by a regulator or other government, Racing Authority or Sports Controlling Body, in relation to misconduct, fraud, corrupt conduct or other dishonesty or integrity related matters??		If <i>Yes</i> , please explain the circumstances:	
E.	In the last 10 years, has the Company or any Associates been subject to criminal	Yes □	No □
	<u>charges</u> , in any jurisdiction, which involved fraud, dishonesty or the operation of a bookmaking business? This includes charges that were discontinued or ultimately withdrawn.	If <i>Yes</i> , please explain the circumstances <u>and</u> provide a National Police Record Certificate (dated within the last 3 months):	
F.	In the last 10 years, has the Company or any Associates been <i>found guilty</i> of a	Yes □	No □
	criminal offence, in any jurisdiction, which: (a) Involved fraud or dishonesty; (b) Involved the operation of a bookmaking business; or (c) Involved a sentence of a term of imprisonment (including suspended term of imprisonment).	If Yes, please explain the circumstances <u>and</u> provide a National Police Record Certificate (dated within the last 3 months):	

	This includes where the Company or an Associate has been found guilty, but no conviction was recorded.		
G.	Are there any current criminal proceedings (or charges) pending against	Yes □	No □
	the Company or any Associates?	If <i>Yes</i> , please explain the circumstances <u>and</u> provide a National Police Record Certificate (dated within the last 3 months):	
H.	Are there any current civil proceeding pending against the Company or any	Yes □	No □
	Associates that relates to a debt allegedly owed by the Company or any Associate of more than \$20,000?	If <i>Yes</i> , please explain the circumstances:	
I.	Has the Company or any Associate ever been subject to any findings of	Yes □	No 🗆
dishonesty in a civil proceeding before a Court?		If <i>Yes</i> , please explain the circumstances:	
J.	Has the Company or any Associate been subject to a <i>past</i> civil proceeding where	Yes □	No □
	you were ordered to pay a sum exceeding \$20,000?	If <i>Yes</i> , please explain the circumstances:	
K.	Has the Company or any Associate been	Yes □	No □
subject to any <u>adverse findings</u> by a Court, professional body, statutory body, Royal Commission, or other regulatory authority (including other principal racing authorities or other gambling regulators)?		If <i>Yes</i> , please explain the circumstances:	
L.	Has the Company or any Associate been subject to any insolvency or similar	Yes □	No □
	proceedings, or been declared bankrupt, in the last 10 years?	If <i>Yes</i> , please explain the circumstances:	
M.	Is the Company aware of any other matter relating to the Company or any	Yes 🗆	No □
	Associate that may bring Racing Victoria or the profession of bookmaking into disrepute?	If <i>Yes</i> , please exp circumstances:	olain the

Ongoing requirement to inform Racing Victoria regarding any civil or criminal proceedings (or charges) pending against any shareholder or Associate.

After submitting this Application, you are required to inform the Racing Victoria Wagering Licensing & Regulation Department of any criminal charges or civil proceedings laid or issued against you within seven days of any charges or proceedings being laid or issued.

Updates must be sent by email to b.admin@racingvictoria.net.au

For the purposes of this application for a Club Bookmaker's Licence:

"Approved Substitute" means a Registered Bookmaker's Key Employee who has been approved by Racing Victoria pursuant to section 91AB of the Racing Act and Licence Rule 9 to carry on the business of a bookmaker during a period of absence by the Bookmaker.

"Bookmaker Rules" means the *Club Bookmakers' Licence Rules, Bookmakers' Levy Rules 2012, Bookmakers' Telephone Betting Rules 2001* and *Bookmakers' Internet Betting Rules 2001* as published on the RV website,

"Key Employee" means the holder of a current certificate of registration as a Registered Bookmaker's Key Employee under Part 5A of Chapter 4 of the Gambling Regulation Act.

"Metropolitan" racing venue means a race meeting conducted at Flemington, Caulfield, Sandown or Moonee Valley, or select meetings at Mornington and Bendigo.

"Rules of Racing" means the Racing Victoria Rules of Racing (including the Rules of Race Betting) as published on the RV website,

"Terms and Conditions" means the terms and conditions and betting rules which apply to an account, as agreed between the Bookmaker and a client, and as described in Rule 16.7 of the *Club Bookmaker's Licence Rules*.

"Associate" means—

- (a) a person who holds or will hold any Relevant Financial Interest, or is or will be entitled to exercise any Relevant Power (whether in right of the person or on behalf of any other person) in the Applicant's business, and by virtue of that interest or power, is able or will be able to exercise a significant influence over or with respect to the management or operation of that business; or
- (b) a person who is or will be an Executive Officer, whether in right of the person or on behalf of any other person, of the Applicant's business; or
- (c) if the Applicant is a natural person, a person who is a Relative of the Applicant.

Where:

"Executive Officer", in relation to an incorporated entity, means—

- (a) a director, secretary or other officer (by whatever name called); or
- (b) any other person who is concerned with, or takes part in, the management of the entity, whether or not the person's position is given the name of executive officer:

"Relative", in relation to a person, means—

- (a) the spouse or domestic partner of the person;
- (b) the mother or father of the person; or
- (c) a son or daughter (including adopted children) of that person who has attained the age of 18 years;

"Relevant Financial Interest", in relation to the Applicant, means—

- (a) any share in the capital of the Applicant; or
- (b) any entitlement to receive any income derived from the Applicant's business; or
- (c) any entitlement to receive any payment as a result of money advanced; and

"Relevant Power" means any power, whether exercisable by voting or otherwise and whether exercisable alone or in association with others—

- (a) to participate in any directorial, managerial, or executive decision; or
- (b) to elect or appoint any person as an executive officer.

Where an Applicant or its ultimate parent company is listed on the Australian Securities Exchange or another similar exchange, the term "Relevant Financial Interest" will not, unless Racing Victoria determines otherwise, apply to any shareholder of the Applicant or its ultimate parent company (as applicable), or any other person, unless the Relevant Financial Interest is such that it is equivalent to a 10% or greater shareholding in the capital of the Applicant or its ultimate parent company (as applicable).

8. Supporting Documentation and Financial Statement

Please tick all the documents you are submitting alongside this Application.

CATEGORY 1 - must be submitted alongside this application

For new applicants only

A copy of your approval from the VGCCC (formerly known as the VCGLR)
Description or chart of the organisational and ownership structure of your business
A 3-year business plan, containing projections for turnover, gross margin and operating margin
A letter from a lawyer certifying that terms and conditions comply with all relevant laws

Ц	A copy of your website terms and conditions (<i>Class I only</i>)
	A copy of your responsible gambling policy, if different from the VBA Responsible Gambling Code of Conduct
	A copy of your privacy policy and/or information collection statement that complies with $\underline{\sf SECTION~8}$ of this Application Form
	A copy of your betting rules (unless operating only on-course)
	A copy of your terms and conditions (unless operating only on-course)
For	ALL applicants
	A completed Financial Statement, located on the Racing Victoria website
	A completed Bookmaker Direct Debit and Service Level Agreement form, located on the <u>Racing Victoria website</u>
	A completed Off-Course Premises application (where you are intending to operate from a location other than a Racecourse), located on the Racing Victoria website
	National Police Record Check (dated within the last 3 months) (<i>if specified as necessary in "7. Probity Questions" PART E, F and G above</i>)
	A copy of the Company's constitution
	A copy of the Shareholder's agreement (if any)
	Please provide the name of the approved betting system provider used (or to be used) by your business.
	Has your organisational or ownership structure changed? <i>If you answer yes, please provide a revised description or chart of your business' organisational and ownership structure.</i>
_	Yes □ No □
	A copy of a current and historical personal name extract, issued by the Australian Securities and Investments Commissions (ASIC), with respect to all directors and all shareholders that hold a Relevant Financial Interest exceeding 10% of total shareholding (Class I only)
	A copy of credit report issued by a national credit reporting body within the last three months of the date of this Application with respect to all directors and all shareholders that hold a Relevant Financial Interest exceeding 10% of total shareholding (<i>Class I only</i>). See the <u>OAIC website</u> for more information.

SECTION 3: AREA ALLOCATION AND VENUES

All Licences are valid for up to a **12-month period**. Licences expire on 31 July and must be renewed annually. Where a bookmaker receives an Area Allocation at a Metropolitan racecourse, that bookmaker must meet the minimum works requirement of 33% of all meetings at that racecourse. Please also note that meeting the minimum 33% requirement will not guarantee a stand during the next licence period.

Stand allocations will be based on your selections on this Application and allocations will be made in accordance with <u>Racing Victoria's Victorian Bookmakers' Policies</u>. Tick each venue you wish to be considered for - <u>please be careful to complete your selections correctly.</u>

Please note that if you tick a box in any of the tables below, please also tick the corresponding Licence Class in <u>SECTION 1: APPLICATION TYPE</u>. For example, if you tick Rails at Caulfield, Paddock at Sandown and Country racecourses please also tick Class R, Class P and Class C in <u>SECTION 1</u>.

Pursuant to rule 12.1.2-3 of the *Club Bookmaker's Licence Rules*, penalties and/or administration fees may be incurred when a bookmaker withdraws from a race meeting after a stand has been allocated.

A bookmaker who gives notice less than two (2) full business days prior to the race meeting is liable to pay the Penalties prescribed in rule 12.1.2 of the *Club Bookmaker's Licence Rules*.

Class R "Rails"		
This Licence Class permits the Bookmaker to take be the "rails" at metropolitan racing ve		ers on
A. Flemington		
Time	Area	\checkmark
Saturdays, Public Holidays & Turnbull Stakes Day (excluding Melbourne Cup Carnival)	Rails	
Melbourne Cup Carnival (Derby, Cup, Oaks & Stakes)	Rails	
B. Caulfield		
Time	Area	\checkmark
Standard Meeting (Saturdays and Public Holidays but excluding Caulfield Carnival)	Rails	
Caulfield Carnival (Guineas, Blue Sapphire & Cup)	Rails	
C. Sandown		
Time	Area	✓
Saturdays and Public Holidays (excluding Sandown Classic Day)	Rails	
Midweek	Rails	
Sandown Classic Dav	Rails	П

D. Mornington (Metropolitan meetings) Time Area ✓ Saturday and Midweek (excluding Mornington Cup) Rails □ E. Moonee Valley			
Time Area Saturday and Midweek (excluding Mornington Cup) Rails			
Saturday and Midweek (excluding Mornington Cup) Rails			D. Mornington (Metropolitan meetings)
		Area	Time
E. Moonee Valley		Rails	Saturday and Midweek (excluding Mornington Cup)
E. Moonee Valley			
, ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,			E. Moonee Valley
Time Area ✓	/	Area	Time
Saturdays and Public Holidays (excluding Cox Plate Carnival) Rails (Tulloch Bar / Lawn) □		. '	
Night meetings (other than Manikato Stakes) Rails (Tulloch Bar / Lawn)		*	Night meetings (other than Manikato Stakes)
Cox Plate Carnival (Manikato Stakes Friday night & Cox Rails Date Saturday)		Rails	,
F. Bendigo (Metropolitan meeting)			F. Bendigo (Metropolitan meeting)
Time Area ✓	/	Area	Time
Golden Mile Raceday Rails		Rails	Golden Mile Raceday

Class O "Other"

This Licence Class permits the Bookmaker to take bets from customers at **metropolitan** racing venues on the "paddock/ring", or "other" areas not already covered by Class C and R.

A. Flemington			
Time	Area	✓	
Turnbull Stakes Day (excluding Melbourne Cup	Saintly Place		
	Hill Stand		
	Forum		
	Other (please specify):		
	If you do not currently have an allocation, please tick if interested in being considered as an emergency		
Melbourne Cup Carnival	Saintly Place		
(Derby, Cup, Oaks & Stakes)	Hill Stand		
	Forum		
	Other (please specify):		
	If you do not currently have an allocation, please tick if interested in being considered as an emergency		

K (SIIITIQIA		
B. Caulfield Time Are	22	
		V
3 ()	ncourse	
avalvalina Cavifialal Cavaival	omenade	
and Semi feature meetings)	ner (please specify):	
If v	ou do not currently have an allocation,	
=	ase tick if interested in being	_
cor	nsidered as an emergency	
` '	ncourse	
Blue Sapphire & Cup) Pro	omenade	
Oth	ner (please specify):	
If v	ou do not currently have an allocation,	
	ase tick if interested in being	
•	nsidered as an emergency	
Semi feature meetings Cor	ncourse	
	omenade	
Stakes and Blue Diamond) Oth	ner (please specify):	
lf v	ou do not currently have an allocation,	
_	ase tick if interested in being	-
-	nsidered as an emergency	
C. Sandown		
Time Are		
		V
	ddock ner (please specify):	
Classic Day)	ier (piease specify).	
3,		
If y	ou do not currently have an allocation,	
•	ase tick if interested in being	
cor	nsidered as an emergency	
Midweek Pac		
Midweek Pac	ner (please specify):	
Midweek Pac Oth	ner (please specify):	
Midweek Pac Oth	ner (please specify): ou do not currently have an allocation,	
Midweek Pac Oth	ner (please specify): rou do not currently have an allocation, ase tick if interested in being	
Midweek Pac Oth If y ple cor	ner (please specify): ou do not currently have an allocation,	
Midweek Pac Oth If y ple cor Sandown Classic Day Pac	ner (please specify): ou do not currently have an allocation, ase tick if interested in being asidered as an emergency	

	T	
	16	
	If you do not currently have an allocation, please tick if interested in being	
	considered as an emergency	
D. Mornington (Metropolita		
Time	Area	\checkmark
Saturday and Midweek	Paddock	
(excluding Mornington Cup)	Other (please specify):	
	If you do not currently have an allocation,	
	please tick if interested in being	
	considered as an emergency	
E. Moonee Valley		
E. Moonee Valley Time	Area	
Saturdays and Public	Paddock (Tulloch Bar/Lawn)	
Holidays (excluding Cox Plate	Market Place	
Carnival)	Other (please specify):	
	Ctrici (piedse speeliy).	
	If you do not currently have an allocation,	
	please tick if interested in being	
Night meetings (excluding	considered as an emergency Paddock (Tulloch Bar/Lawn)	
Manikato Stakes)	Market Place	
ŕ	Other (please specify):	
	Carren (Jereane alpean, 3)	
	If you do not currently have an allocation,	
	please tick if interested in being considered as an emergency	
Cox Plate Carnival (Manikato	Paddock	
Stakes Friday night & Cox	Market Place	
Plate Saturday)	Other (please specify):	
	If you do not currently have an allocation	
	If you do not currently have an allocation, please tick if interested in being	
	considered as an emergency	
F. Bendigo (Metropolitan r		
Time	Area	\checkmark
Golden Mile Raceday	Paddock	
	Other (please specify):	
	1	100

If you do not currently have an allocation,	
please tick if interested in being	
considered as an emergency	

Class C "Country" This Licence Class permits the Bookmaker to take bets from customers at any "country" (non-metropolitan) venue. **G.** Country Racecourses Racecourse Do you wish to operate at a country racecourse? Note: a "country" racecourse means: subject to the below, all the racecourses not referred to in the Class R or Class O tables above; and with respect to Mornington racecourse, all race meetings other than those referred to in the Class R or Class O tables above with respect to Bendigo racecourse, all race meetings other than Golden Mile Raceday Conditions Note: Bookmakers granted a Club Bookmaker's Licence in respect of country racecourses must lodge monthly applications to field at a particular race meeting. A \$25 administration fee may be incurred when a bookmaker advises Wagering Licensing & Regulation Department of his/her intention not to field up to two (2) full business days prior to the race meeting.

Off-Course Premises Application H. Off-Course Premises Application			
Note	Address	Type ✓	
If you do not have an existing approval to operate from an off-		Internet Only (Class I)	
course premises, please download and complete		Telephone Only	
the relevant form from www.racingvictoria.net.au		Telephone +	

Please note that a **Class I Licence** permits the Bookmaker to accept bets from customers over the internet.

SECTION 4: MODES OF BETTING

*Note: all bookmakers are approved to accept bets on racing events that are to be decided less than 35 days after the day on which the bet is placed. To be approved for other modes of betting, please select from the list below.

Mode		✓
Sports Betting	Non-Future Sports Betting (Sports bets where the result is to be decided less than 35 days after the day on which the bet is placed)	
	Future and Non-Future Sports Betting (Sports bets which include bets where the result is to be decided 35 days or more after the day on which the bet is placed)	
Racing	Future Win / Place (Bets on a horse, harness or greyhound where the result is to be decided 35 days or more after the day on which the bet is placed)	
	Future Double	
	(Bets on a horse, harness or greyhound in two specified races, providing at least one of the races is decided at a race meeting conducted on a day after the day the bet is placed)	
Tote Odds	Request for permission under rule 12.3 of the Rules of Race Betting (which can be found in the Rules of Racing available here) to offer Totalisator Odds (as defined in rule 12.1).	

Please specify what bet types are being offered (i.e., win, place, trifecta, etc.)? (Please see the list of Approved Bet types on the Racing Victoria website here)

SECTION 5: BETTING SYSTEMS

Type of Betting channel used	✓	
Face-to-Face		
Telephone		
Internet (Class I only)		
Mobile App (Class I only)		
Other (please specify)		

Type of Betting	✓	System and Version					
Computerised		Racebet 2010: Current Approved Version (or higher)					
Betting		Multi-Bet: Curre	Multi-Bet: Current Approved Version (or higher)				
		BookieSystems Pty Ltd: Current Approved Version (or higher)					
Internet Betting Please note: If applying for Betfair or Best		Betfair Account Number					
Bookies, please ensure you have provided an account number.		Best Bookies					
		BetCloud Pty Ltd Bookie Price Pty Ltd					
		EcoApp Pty Ltd					
		GenerationWeb Pty Ltd					
		Punterstech Pty Ltd					
Telephone Betting		Escape Net Telephone Betting System					

Bookmakers are required to ensure they are compliant with the Current Approved Version for their Computerised Betting Systems as specified by Racing Victoria. Current Approved Version information is available on request via email (b.admin@racingvictoria.net.au).

SECTION 6: PRIVACY COLLECTION STATEMENT

Racing Victoria Limited (ACN 096 917 930) (RV) is the Principal Racing Authority governing thoroughbred racing in Victoria, and carries out the following functions in respect of bookmakers it licenses:

- · determining and issuing bookmakers' club licences;
- regulating bookmakers including monitoring compliance with licence conditions;
- · monitoring bookmakers and betting transactions;
- ensuring the integrity and probity of bookmaking businesses; and
- ensuring the efficient operation of bookmaking services.

RV is collecting your personal information on this form, including your name, contact details and financial information. We may also be collecting personal information about you which may be considered sensitive or protected under applicable laws (such information may include your criminal record (including pending civil or criminal proceedings or charges)) that you may provide in this form or under your ongoing disclosure obligations.

The purpose of collecting your personal information is for our legitimate interests, including as required pursuant to the Racing Victoria Rules of Racing (including the Rules of Race Betting) (Rules of Racing), the *Club Bookmakers' Licence Rules*, *Bookmakers' Levy Rules 2012*, *Bookmakers' Telephone Betting Rules 2001*, *Bookmakers' Internet Betting Rules 2001* and any other rules made under the *Racing Act 1958* (Vic) (together the Bookmaker Rules)¹, which require RV to collect information about bookmakers and betting transactions. Further, the collection of your personal information allows RV to perform its regulatory functions, including but not limited to:

- making determinations in relation to your licence application, renewal or continuance;
- verifying your compliance with the Rules of Racing, the Bookmaker Rules and any conditions imposed on your Licence;
- detecting breaches of and/or non-compliances with the Rules of Racing, Bookmaker Rules and any conditions imposed on your Licence;
- investigating possible or suspected breaches and/or instances of non-compliance of the Rules of Racing, Bookmaker Rules and any conditions imposed on your Licence; and
- otherwise meeting its regulatory responsibilities in relation to you as a bookmaker (together, RV's **Regulatory Purpose**).

If your personal information is not collected, either on this form or otherwise when requested by RV as part of its licensing and regulatory activities, we may not be able to process your application (and therefore refuse to process your application or renew your licence, as the case may be) or may revoke or suspend your licence.

¹ A copy of the Rules is available on the Racing Victoria website at the following link: https://www.racingvictoria.com.au/the-sport/racing/rules-of-racing

To further its Regulatory Purpose, RV may also obtain personal (including sensitive) information about you from, or disclose your personal information to, third parties such as:

- entities set out in section 91E of the Racing Act 1958 (Vic);
- enforcement bodies (as defined in section 6 of the *Privacy Act 1988* (Cth));
- Federal or state government authorities (for example, the Victorian Gambling and Casino Control Commission);
- racing controlling bodies (such as other PRAs and their equivalents in greyhound and harness) in the States and Territories of Australia and overseas;
- racing clubs;
- approved system providers, contractors and/or other service providers;
- wagering service providers; and
- the Victorian Bookmakers' Association Ltd.

Other than where described in this Privacy Collection Statement, RV's Privacy Policy or required by law, RV will keep your personal (including sensitive) information confidential.

We otherwise use, disclose and handle your personal information in accordance with our Privacy Policy available at https://www.racingvictoria.com.au/privacy-policy If you are concerned about a possible interference with your privacy, please contact Racing Victoria's Privacy Officer at privacyofficer@racingvictoria.net.au Privacy complaints to Racing Victoria must be made in writing.

SECTION 7: PROVISION OF BETTING RECORDS

In order for RV to fulfil the Functions and Purpose described in <u>SECTION 6</u> of this Application Form, Rule 14.11.2 of the *Club Bookmaker's Licence Rules* (Club Bookmakers Licence Rules), a bookmaker must provide as directed by RV, all information, records or documents required by RV to verify the bookmaker's obligations under and compliance with the Bookmaker Rules and Rules of Racing made under the *Racing Act 1958* (Vic) and any relevant law, including without limitation information regarding bets made by the bookmaker (Betting Records). Such Betting Records are to be provided in the form and manner as may be required by the Authorised Officer (as defined in the Club Bookmaker's Licence Rules).

In addition to ensuring compliance with the Rules of Racing and the Bookmaker Rules (as defined in <u>SECTION 6</u> of this Application Form and amended from time to time) and any relevant law, this information may be used for reporting purposes.

RV may disclose Betting Records to third parties such as:

- entities set out in section 91E of the Racing Act 1958 (Vic);
- enforcement bodies (as defined in section 6 of the Privacy Act 1988 (Cth));
- Federal or state government authorities (for example, the Victorian Gambling and Casino Control Commission);
- racing control bodies (such as other PRAs and their equivalents in greyhound and harness) in the States and Territories of Australia and overseas;
- racing clubs;
- approved system providers, contractors and/or other service providers;
- wagering service providers; and
- the Victorian Bookmakers' Association Ltd.

SECTION 8: BOOKMAKER PRIVACY OBLIGATIONS

For the Company to:

- (a) give effect to <u>SECTION 7</u> and <u>SECTION 8</u> of this Application Form; and
- (b) recognise the various powers of Racing Victoria, the Stewards or Authorised Officers of Racing Victoria to request information and/or documents under the Rules of Racing (including the Rules of Race Betting) and the Bookmaker Rules,

the Company <u>must</u> include in their Privacy Policy and/or information collection statement, one of the following:

OPTION 1: SUGGESTED LANGUAGE OF Racing Victoria
OPTION 2: your own language (subject to approval by Racing Victoria

Where you have selected OPTION 2, please provide your language below.

For the avoidance of doubt, it is each Bookmaker's responsibility to have a suitable privacy policy and/or collection statement to fulfill its obligations under law and the Rules of Racing (including the Rules of Race Betting) and the Bookmaker Rules.

OPTION 1: SUGGESTED LANGUAGE OF RACING VICTORIA

[Bookmaker] may also disclose your personal information to Racing Victoria Limited (ACN 096 917 930) (Racing Victoria). Racing Victoria is the Principal Racing Authority responsible for governing thoroughbred racing in Victoria which includes administering and ensuring the integrity of the industry and supervising bookmakers, licensed and registered persons, its employees, and betting transactions generally. [Bookmaker] is subject to the Club Bookmaker Licence Rules and the Rules of Racing (as amended from time to time) (Rules) and may disclose your personal information to Racing Victoria for the purpose of it fulfilling its regulatory functions such as monitoring, investigating and enforcing the Rules. Racing Victoria will handle your personal information in accordance with its own privacy policy available at https://www.racingvictoria.com.au/privacy-policy. Please note that Racing Victoria may disclose your personal information and/or betting records to a third party in accordance with section 91E of the Racing Act, Racing Victoria's privacy policy, or as otherwise permitted by law. For example, Racing Victoria may disclose your personal information to a third-party service provider of Racing Victoria retained for the strict purpose of assisting Racing Victoria to fulfill its regulatory functions (described above).

OR

OPTION 2: YOUR OWN LANGUAGE (SUBJECT TO APPROVAL BY RACING VICTORIA)

Please include your proposed alternative language for the collection statement below:

SECTION 9: DECLARATIONS

In making this application, we:

- (a) declare and acknowledge that we have read and understood the information contained in this Application Form;
- (b) agree to notify Racing Victoria should any details contained within our Application change following the submission of our Application;
- (c) declare that all particulars provided in this Application (including documents submitted in in support of our Application) are true, complete, and correct;
- (d) declare that we hold no other interest in any company, partnership, joint venture or other entity or structure other than those tabulated and provided in the Financial Statement;
- (e) acknowledge that we have read, understood and agree to be bound by the Racing Victoria Rules of Racing (including the Rules of Race Betting) (Rules of Racing), Club Bookmakers' Licence Rules, Bookmakers' Levy Rules 2012, Bookmakers' Telephone Betting Rules 2001 and Bookmakers' Internet Betting Rules 2001 (together, the Bookmaker Rules), as published on the RV website, and we agree to familiarise ourselves and be bound by any amendments to those rules as notified to us from time to time;
- (f) warrant that all persons whom we employ in our bookmaking business have read the Rules of Racing and the Bookmaker Rules, as published on the RV website, and:
 - (i) will assist Racing Victoria with any enquiry or direction (or other relevant matter) related to our bookmaking business; and
 - (ii) where directed or requested, will attend and give evidence in respect of any investigation or inquiry by Racing Victoria in accordance with the Rules of Racing and/or the Bookmaker Rules;
- (g) agree to be subject and bound by such rules and directions as may from time to time be made or given by the directors of Racing Victoria, Authorised Officers of Racing Victoria, the Stewards and/or betting supervisors of Racing Victoria (or any of their delegates), or any officials of any racing club registered to conduct thoroughbred racing under the Rules of Racing;
- (h) acknowledge that, when one of our Key Employees is performing the role of Approved Substitute for us, that person carries on our bookmaking business on our behalf and we are liable for the operation of that business at all times;
- (i) acknowledge that we have read and agree to be bound by the Privacy Collection Statement in <u>SECTION 6</u> and the Provision of Betting Records in <u>SECTION 7</u> of this Application Form;
- (j) agree to be bound by Racing Victoria policies relating to bookmakers as disclosed to us, including but not limited to the stand allocation policies, which may be amended from time to time;
- (k) agree to use an Approved Betting System (ABS) to record all betting activity, in accordance with Rule 14 of the Club Bookmakers Licence Rules;
- (I) agree that when using an ABS, it is our responsibility to:

- (i) conduct our own due diligence regarding the ABS provider and suitability of the ABS to our business requirements and circumstances; and
- ensure that we are satisfied with the commercial terms offered by the ABS provider - including the level of insurance cover held by the ABS provider - are appropriate and sufficient for our requirements and circumstances;
- (m) agree to have all outstanding payments owed to Racing Victoria (including any administrative charges, levy fees or fines) settled by the commencement of the new licence period on 1 August 2024;
- (n) in addition to the Financial Statement provided, we warrant that our bookmaking business has sufficient funds and/or resources available to maintain and manage the business the subject of this Application and that there is no insolvency event or similar proceeding on foot now or likely to be in the foreseeable future that may have a material effect on our capacity to be a bookmaker;
- (o) agree to ensure all required end of day files are transferred to Racing Victoria at the conclusion of each day of operation;
- (p) declare that we are not financially or in any other way interested in illegal betting whatsoever, and we undertake that we will not engage or attempt to engage in any such business while we hold a Club Bookmaker's Licence issued by Racing Victoria;
- (q) declare that our terms and conditions and betting rules comply with all applicable laws;
- agree to insert the prescribed wording contained in <u>SECTION 8</u> of this Application Form into our privacy policy and/or information collection statement or otherwise use language provided by us, subject to the approval of Racing Victoria;
- (s) agree that Racing Victoria is able to supply, and otherwise consent to Racing Victoria supplying, our customer's personal information and/or betting records to a third-party service provider for the strict purpose of assisting Racing Victoria to fulfill its regulatory functions in accordance with section 91E of the *Racing Act 1958* (Vic) or otherwise permitted by law;
- (t) acknowledge and confirm that, pursuant to the requirements of the *Anti-Money Laundering and Counter-Terrorism Financing Act 2006* (Cth) ('AML/CTF Act'), we are enrolled with the Australian Transaction Reports and Analysis Centre ('AUSTRAC') and warrant that we are/our business is (and will continue to be throughout the period of the Club Bookmaker's Licence) compliant with our / its obligations under the AML/CTF Act;²
- (u) warrant that as at the date of signing this declaration and at all times during the period of my Club Bookmakers Licence neither we nor our bookmaking business is in breach or contravention of or default under or non-compliance with any statute, rule, regulation or by-law whether Commonwealth or State

-

² Refer to the AUSTRAC Compliance Guide (in particular chapter 4) available on the AUSTRAC website, for more information: http://www.austrac.gov.au Instructions on how to enrol or register your business are available on the AUSTRAC website at the following link: www.austrac.gov.au/businesses/enrolment-and-registration/enrolment-and-registration

that is related to or in connection with wagering or the activities or undertakings of operating a wagering business or the breach of which would constitute a criminal offence and neither we nor our bookmaking business has received notice that it may be in such default, contravention or breach. Please note this does not only include wagering specific statutes, rules, regulations or by-laws but also other such provisions that cover our bookmaking operations generally, including (but not limited to) the Australian Consumer Law;

- (v) warrant that we will promptly abide by any and all taxation laws, regulations, rulings and investigations and provide full cooperation with the Australian Taxation Office ('ATO') in association with the taxation responsibilities, debts or otherwise that may result as a consequence or in association with my/our bookmaking business;
- (w) warrant that we will abide by all industry codes, rules, regulations and statutes (both State, Territory and Federal) relating to advertising both in the general sense but also in the wagering specific sense; and
- (x) acknowledge that if this Application (including documents submitted in in support of our Application) contains any false or misleading information or conceals any material facts, our Club Bookmaker's Licence may be revoked or suspended in accordance with the Club Bookmaker's Licence Rules, and/or disciplinary action may be taken against me under the Rules of Racing.

Signed by:

Please note: <u>ALL</u> directors	s must sign this application form.		
Name of Director	Director's Signature	Date	
Name of Director	Director's Signature	Date Date	
Name of Director	Director's Signature	Date	
Name of Director	Director's Signature	<i>Date</i>	

Name of Director	-	Director's Signature	Date

SCHEDULE A

Individual Shareholders

Title	Surname	Given Name	% Interest held in company	Residential Address	D.O.B	Registration Number	Signature

Corporate Shareholders

Name of Corporate Shareholder	ABN	Beneficial owners (incl. name and % of ownership of corporate shareholder)	% interest held in company	Registration Number	Signature

SECTION 10: UPDATES TO THIS APPLICATION FORM

Version	Nature of Change	Reviewing Party	Approval Date
1.1	Annual Review	Sam Prendergast	22/05/2023
2.1	Annual Review and Update	Sam Prendergast	17/05/2024