

# **JOCKEY LICENSING POLICY**

Applicable to all licensed Jockeys and persons applying for a Jockey Licence, permit or approval to ride.

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# **CONTENTS**

1.	PRELIMINARY	1	
1.1	Purpose and Authorising Provision	1	
1.2	Commencement and Transitional Provisions	1	
2.	DEFINITIONS AND INTERPRETATION	1	
2.1	Definitions	1	
2.2	Interpretation	2	
3.	GENERAL REQUIREMENTS FOR THE GRANT OF JOCKEY LICENCES		
3.2	Categories of Licences	3	
3.3	Validity of Licences	4	
3.4	Visiting Licences	4	
3.5	The Directors	4	
3.6	Revocation	4	
3.7	Ineligibility	4	
4.	GENERAL LICENCE CONDITIONS FOR JOCKEYS	5	
5.	JOCKEY LICENCE	8	
5.1	Background to a Licence	8	
5.2	Requirements for the grant of a Jockey Licence	8	
5.3	Official Trials Permit (flat)	9	
5.4	Jockey Licence Additional Criteria	9	
5.5	Ticketing and Car Parking	10	
5.6	Visiting Jockey Ticketing and Car Parking	10	
6.	JUMPS JOCKEY 'A' LICENCE	10	
6.1	Background to a Licence	10	
6.2	Requirements for the grant of a Jumps A Licence	11	
6.3	Official Trials Permit (jump)	12	
6.4	Jumps A Licence conditions	12	
6.5	Ticketing and Car Parking	13	
6.6	Visiting Jockey Ticketing and Car Parking	13	
7.	JUMPS JOCKEY 'B' LICENCE	13	
7.1	Background to a Licence	13	
7.2	Requirements for the grant of a Jumps B Licence	14	
7.3	Official Trials Permit (jump)	14	
7.4	Jumps B Licence Conditions	15	
7.5	Ticketing and Car Parking	16	
7.6	Visiting Jockey Ticketing and Car Parking	16	
8.	PICNIC JOCKEY LICENCE	16	
8.1	Background to a Licence	16	
8.2	Requirements for the grant of a Picnic Licence	16	

8.3	Official Trials Permit (flat)	17
8.4	Picnic Licence conditions	18
8.5	Ticketing and Car Parking	18
8.6	Visiting Jockey Ticketing and Car Parking	19
9.	APPRENTICE JOCKEY LICENCE	19
9.1	Background to a Licence	19
9.2	Requirements for the grant of an Apprentice Licence	19
9.3	Apprentice Licence conditions	.20
9.4	Loan Applications for Victorian-based Apprentices	.23
9.5	Loan Applications for Interstate or Overseas-based Apprentices	.23
9.6	Transfer Applications for Victorian-based Apprentices	.24
9.7	Transfer Applications for Interstate or Overseas-based Apprentices	.25
9.8	Extension Applications for Victorian-based Apprentices	.25
	Ticketing and Car Parking	
9.10	Visiting Apprentice Licence	.26
9.11	Visiting Jockey Ticketing and Car Parking	.26
0.	REVIEW AND APPROVAL	.27
1.	VERSION	.27

#### 1. PRELIMINARY

# 1.1 Purpose and Authorising Provision

This Racing Victoria (RV) Licensing Policy (Policy) sets out the requirements that a person must satisfy to be granted a licence to ride under LR 32B and LR 33A and the conditions upon which a person may hold a licence, permit or approval to ride.

An updated copy of the Rules of Racing of Racing Victoria (**Rules**) can be located on the RV website (<u>www.racingvictoria.com.au</u>).

## 1.2 Commencement and Transitional Provisions

This Policy comes into operation and effect on and from 1 August 2024.

Unless otherwise provided for, all riding licences, permits, approvals, authorisations and any other rights or privileges granted under or pursuant to the Rules and Policy prior to 1 August 2024 are deemed to continue in full force and effect until their expiration.

#### 2. DEFINITIONS AND INTERPRETATION

#### 2.1 Definitions

In this Policy:

Applicant means the applicant for a licence to ride pursuant to this Policy.

**Apprentice jockey** means a person who is bound to a trainer in accordance with the Local Rules of the PRA with jurisdiction over the State or Territory in which that trainer resides.

**Apprentice Team** means the team employed by Racing Victoria to manage and administer the Apprentice Jockey Training Program (AJTP).

CMO means the Chief Medical Officer as appointed or engaged by Racing Victoria.

**Directors** means a member of the Racing Victoria Limited Board.

Jockey is a person licensed by a PRA to ride for hire.

**Jumps Review Panel** means the body of that name established and maintained in accordance with the Rules of Racing of Racing Victoria LR 90.

**Licensing Panel** means the Racing Victoria Licensing Panel as established on 22 October 2013, and effective from 1 November 2013.

Official Trials Permit (flat) means a permit granted by the Riders Skills Panel to Applicants who have satisfied all relevant requirements of the Jockey Licensing Policy that permits them to ride in Official Trials (flat) to satisfy the final skills requirement for the granting of a Jockey licence.

Official Trials Permit (jump) means a permit granted by the Riders Skills Panel to Applicants who have satisfied all relevant requirements of the Jockey Licensing Policy that permits them to ride in Official Trials (flat and jump) to satisfy the final skills requirement for the granting of a Jockey licence.

Policy means this Racing Victoria Jockey Licensing Policy.

**RV** means Racing Victoria Limited ACN 096 917 930 and recognised as Racing Victoria in the *Racing Victoria Act 1958.* 

**Riders Skills Panel** has the same meaning as in the Rules of Racing of Racing Victoria LR 44A.

the Rules means the Rules of Racing of Racing Victoria, which incorporates the Australian Rules of Racing (AR) and the Local Rules of Racing (LR).

## 2.2 Interpretation

Unless the context requires to the contrary, words and expressions used in this Policy have the meaning given to them in the Rules.

# 3. GENERAL REQUIREMENTS FOR THE GRANT OF JOCKEY LICENCES

In addition to any specific requirements set out in this Policy or in the Rules, it is a requirement for the grant of any licence to ride (including visiting Jockeys) that the person:

- (a) **Experience:** has the practical, pre-requisite experience reasonably required to carry on the vocation of a Jockey and to ride at the level permitted by the relevant licence.
- (b) **Skill:** has the ability necessary to carry on the vocation of a Jockey and passes the various riding assessments conducted by RV (for clarification, this includes assessment by the Riders Skills Panel and/or Jumps Review Panel).
- (c) Age: is at least 16 years of age.
- (d) **Suitability:** has satisfied RV that they are suitable to hold a licence or registration in line with the 'Suitability Policy', taking into account any fact(s) or matter(s) that it considers appropriate.
- (e) **Health and Fitness:** has the necessary health and fitness to hold a licence to ride, in line with the RV 'Medical Standards for Fitness to Ride' by completing:
  - (i) a medical assessment:
    - signed by a registered medical practitioner which certifies that the person meets the requirements of the "RV Medical Standards of Fitness to Ride"; and
    - ii. approved by the RV Chief Medical Officer (CMO);
  - (ii) a Cognitive (Brain Function) test;
  - (iii) an Exercise Research Australia (body weight) assessment:
    - (i) <u>Claim</u>: If the Applicant will be eligible to claim an allowance when race-riding, at the point of application, they ought present at a body weight that enables them to utilise their full allowance in races when engaged to ride on the **minimum top-weight** allotted in standard handicap races (at acceptance time) at race meetings commensurate to the level of riding permitted by the licence.
    - (ii) Non-claim: If the Applicant is not eligible to claim an allowance when race-riding, at the point of application, they should present at a body weight of no more than the **minimum top-weight** allotted in standard handicap races (at acceptance time) at race meetings commensurate to the level of riding permitted by the licence;

- (iii) For the avoidance of doubt, RV can conduct a weigh in process at the time of application but also at any point after the application has been submitted. Jockeys can risk having their licence revoked should they not be able to weigh in at, or under the minimum top-weight of their licence category or the minimum top weight taking into account their claim if they are an apprentice Jockey during the licence period.
- (iv) if the Applicant has previously received a Workcover permanent impairment payout, the Applicant must satisfy RV of their recovery through:
  - i. CMO assessment; and/or
  - ii. CMO requested additional medical assessments and information; and
  - iii. any further medical assessments, as determined by the CMO.

Note: Applicants who have received a career ending injury payment from the industry insurance company are <u>not eligible to apply to become a Jockey</u>.

- (f) Inherent Requirements: has the inherent physical and mental traits required to carry on the vocation of a Jockey, including the ongoing health and fitness requirements as listed in the Fitness to Ride guidelines.
- (g) Intent: intends to ride in Victorian races on a regular basis.
- (h) **Prescribed Forms**: completes the relevant forms, together with payment of the annual licence fee (if applicable), including:
  - (i) the Jockey Licence Application form, including its requirements (refunds are not provided):
  - (ii) the Declarations that the Applicant acknowledges and agrees to be bound by this Policy and the Rules, and adheres to the specific "Licence Conditions" outlined in this Policy:
  - (iii) the Declaration Regarding Betting form; and
  - (iv) acknowledgment of the RV Thoroughbred Racehorse Welfare Policy.
- (i) **Assessments**: has satisfied the following assessments:
  - (i) National Police Record Certificate;
  - (ii) Racing Disciplinary Record;
  - (iii) Race Riding Record;
  - (iv) Interview with RV (where required); and
  - (i) Knowledge of the Rules relating to Jockeys.

#### 3.2 Categories of Licences

An application for the grant of a Jockey licence may be made for the following categories:

- (a) Jockey;
- (b) Jumps Jockey 'A';
- (c) Jumps Jockey 'B';
- (d) Picnic Jockey;
- (e) Apprentice Jockey;
- (f) visiting equivalent of 3.1(a) to (e) inclusive; and
- (g) any other category RV allows from time to time.

## 3.3 Validity of Licences

Once granted, Jockey licences are valid from 1 August to 31 July that racing season.

# 3.4 Visiting Licences

In addition to satisfying the "General Requirements for the Grant of Jockey Licences" as outlined in part 3 of this Policy or in the Rules, it is a requirement for the grant of any visiting licence to ride that:

- (a) the person holds a current and active Jockey licence issued by their homebased Racing Authority;
- (b) the licence issued by the person's home-based Racing Authority must be identical to the riding level permitted by the licence category for which has been applied for within the application to RV; and
- (c) if the person's home-based licence expires, is cancelled, revoked or becomes inactive for any reason, any licence issued by R V will cease immediately and as a consequence, they will not be licensed to ride in any capacity within Victoria.

#### 3.5 The Directors

The Directors of RV may, in their discretion, impose additional licence conditions on any licence at any time. In addition, it may choose to exclude any of the requirements prescribed within this Policy.

The Directors may, from time to time, prescribe educational and experience qualifications, or any other requirement as determined by RV, which must be attained as a precondition to the grant of a licence to ride at race meetings.

Should an Applicant wish to seek relief from any requirement, the Applicant must apply in writing to RV and outline the relief sought, and reasons for the request for relief.

The Directors, without giving any reasons, may:

- (a) refuse to grant a licence to ride; or
- (b) grant a licence subject to such terms and conditions as the Directors think fit, including, but not limited to, restrictions regarding duration, locality or both.

#### 3.6 Revocation

The Directors may at any time revoke a licence to ride granted by them, including without limitation where the holder of the licence:

- (a) is not actively using the licence; or
- (b) ceases to meet the requirements to be granted a licence in accordance with this Policy or the Rules.

#### 3.7 Ineligibility

A person may not be granted or be able to hold a licence if that person:

- (a) is unable to meet all of the requirements prescribed within this Policy, or any other requirements as determined by RV;
- (b) is licensed or registered as, or carries on the vocation of a bookmaker;
- (c) is the holder of an office or position specified in LR 52 of the Rules; or
- (d) has previously been assessed by an independent medical assessor and/or Medical Panel (appointed by RV's Workers Compensation Insuring Agent) and have a deemed whole person impairment of 15% or greater.

#### 4. GENERAL LICENCE CONDITIONS FOR JOCKEYS

In addition to any obligations, requirements and conditions as provided by this Policy or the Rules, an Applicant must agree and acknowledge that, following the grant of a licence, the conditions set out below will apply:

- (a) Permission to Ride: The licence-holder may ride horses in:
  - (i) Track work;
  - (ii) Jump outs;
  - (iii) Official flat trials;
  - (iv) Official jumps trials (Jumps licences only);
  - (v) Races as detailed within the "Licence conditions" section of this Policy for each category of licence.
- (b) **Disciplinary Riding Record:** A licence holder that accumulates more than five (5) careless riding penalties within any six (6) month-period may be referred by Stewards to the Riders Skills Panel or Licensing Panel whereby their licence or permit to race ride may be reviewed. In determining referrals, Stewards will take into account, amongst other things, the quantity of rides by a licence-holder within any six (6) month period.
- (c) **Betting Restrictions**: a Jockey or apprentice Jockey must not bet, or have interest in a bet, or facilitate a bet, on any thoroughbred race or contingency relating to thoroughbred racing in any jurisdiction anywhere in the world.
- (d) Retirement: all holders of Victorian Jockey licence 3.1 (a) to (e) inclusive are required to, in writing, notify RV's Compliance & Regulation Unit (CRU) via <a href="mailto:cru@racingvictoria.net.au">cru@racingvictoria.net.au</a> as soon as a decision to retire from race riding has been reached. Once this has been processed, the licensed Jockey will be notified as such by CRU. Until the time the notification has been issued the Jockey will remain a licensed person and will be bound by the Rules.
- (e) **Incapacitation:** If a licence-holder becomes incapacitated whilst licensed to ride and the incapacitation is subject to a Work Cover claim of:
  - (i) <u>Partially incapacitated</u>: **less** than six (6) months, the licence-holder ought **not**:
    - (i) return to any form of riding (such as track work or jump outs) until they provide a Work Cover certification for "modified" duties; or
    - (ii) return to riding in official trials or races until they provide a Work Cover certification for "pre-injury" duties.

**Note:** if a licence-holder is partially incapacitated at the end of the current licensing period and cannot satisfy the "General Requirements for Grant of Jockey Licence" for the next licensing period (in particular, the requirements relating to "Health and Fitness"), the licence-holder may be granted a licence for the next licensing period that expires within six (6) months from the date of the incident that has resulted in their partial incapacitation. This licence will be granted on the provision that the

- licence-holder can satisfy all other requirements outlined within this Policy;
- (ii) <u>Long-term incapacitated</u>: **more** than six (6) months, the licence-holder ought **not**:
  - (i) return to any form of riding (such as track work or jump outs) until they provide a Work Cover certification for "modified" duties;
  - (ii) return to riding in official trials or races until they provide a Work Cover certification for "pre-injury" duties and a satisfactory medical assessment signed by RV's CMO or a medical officer as approved by RV;
  - (iii) return to race-riding until they complete a number of jumps outs as determined by, and to the satisfaction of, the Riders Skills Panel;
  - (iv) return to race-riding until they complete a number of official trials as determined by, and to the satisfaction of, the Riders Skills Panel.

Note: if a licence-holder has been long-term incapacitated for less than twelve (12) months at the end of the current licensing period, the Licensing Panel have the discretion to grant the licence-holder a licence for the next licensing period for the purpose of completing jump outs and/or official trials to satisfy requirement 4(e)(ii)((iii) and (iv)) of this Policy. The licence will only be granted on provision that the licence-holder can satisfy all other requirements outlined in this Policy and the licence-holder has provided a Work Cover certification for "modified duties". If granted, the licence will expire three (3) months from the date the Work Cover certification for "modified duties" was issued. If a licence-holder is long-term incapacitated for more than twelve (12) months the licence-holder is not eligible to be granted a licence until all requirements within this Policy are satisfied;

#### (f) Permission to Train:

**Trainer:** a Jockey licence-holder is eligible to make application for the grant of a trainer's licence, subject to meeting the trainer licensing requirements set out under the Rules and RV's Trainer Licensing Policy. If a Jockey licence-holder is granted a trainer licence and is eligible to enter horses for racing (**Jockey-Trainer**), the Jockey-Trainer may hold a trainer licence, subject to:

- i. the Jockey-Trainer, who trains a horse engaged in a race, not riding any other horse in the race without the specific approval of the Stewards, and may be required to ride their own horse if a shortage of riders exists;
- ii. the Jockey-Trainer not accepting any rides in circumstances where there is more than one horse in any one race that is trained by them;
- iii. the condition that the Jockey-Trainer may be required to ride a horse in a race within 28 days from: (a) the date of the horse leaving their stables, or (b) the date of the horse being transferred from their stable return;
- iv. the condition that if the Jockey-Trainer is suspended for actions, matters or things relating to their Jockey licence, they (at the discretion of the Stewards or RV, and in addition to any other penalty authorised by the Rules or the relevant policies), may be suspended as a Trainer for the same or such other period as the Stewards or RV determine;
- v. the condition that if the Jockey-Trainer is suspended for actions, matters or things pertaining to their Trainer licence, they (at the discretion of the Stewards or RV, and in addition to any other penalty authorised by the Rules or

the relevant policies), may be suspended as a Jockey for the same or such other period as the Stewards or RV determine;

- vi. the condition that the Jockey-Trainer must not perform training activities until the conclusion of riding commitments that day (they must vacate Jockey's room and must not return);
- vii. a Jockey-Trainer (excluding a Picnic Jockey) must not, without the express written permission of the PRA that has licensed that person, have any interest in or be otherwise involved in the buying, selling, trading or leasing of thoroughbred bloodstock;
- viii. a Jockey-Trainer (excluding a Picnic Jockey) is not permitted to own, take a lease, or have any interest in any eligible horse, unnamed horse or named horse;
  - a. Maximum number of horses: a Jockey- Trainer is limited to a maximum of 20 horses in training for the period of one (1) year after the grant of their trainer licence and a maximum of 30 horses in training thereafter;
  - b. Maximum number of properties: a Jockey-Trainer is limited to a maximum of one (1) property from which they may train.
- ix. any other term or condition or restriction proposed by RV in its absolute discretion.

#### (g) Permission to Train:

**Pre-Trainer:** a Victorian Jockey licence-holder is eligible to make application for the grant of a trainer's licence, subject to meeting the requirements set out in this Policy. If a Jockey licence-holder is granted a pre-trainer licence (the **Jockey-Trainer**), the Jockey-Trainer may hold a pre-trainer licence, subject to:

- i. the condition that the Jockey-Trainer may be required to ride a horse in a race within 28 days from: (a) the date of the horse leaving their stables, or (b) the date of the horse being transferred from their stable return;
- ii. the condition that if the Jockey-Trainer is suspended for actions, matters or things relating to their Jockey licence, they (at the discretion of the Stewards or RV, and in addition to any other penalty authorised by the Rules or the relevant policies), may be suspended as a pre-trainer for the same or such other period as the Stewards or RV determine;
- the condition that if the Jockey-Trainer is suspended for actions, matters or things pertaining to their pre-trainer licence, they (at the discretion of the Stewards or RV, and in addition to any other penalty authorised by the Rules or the relevant policies), may be suspended as a Jockey for the same or such other period as the Stewards or the RV determine;
- iv. a Jockey-Trainer (excluding a Picnic Jockey) must not, without the express written permission of the PRA that has licensed that person, have any interest in or be otherwise involved in the buying, selling, trading or leasing of thoroughbred bloodstock.
- v. a Jockey-Trainer (excluding a Picnic Jockey) is not permitted to own, take a lease, or have any interest in any eligible horse, unnamed horse or named horse;

- a. Maximum number of horses: the Jockey- Trainer is limited to a maximum of 30 horses in training.
- vi. any other term or condition or restriction proposed by RV in its absolute discretion,
  - (h) Riding at Picnic Race Meetings: Only holders of a Picnic Jockey licence may ride at picnic race meetings.
  - (i) Media requirements: where applicable, all licence holders must:
    - complete a race day interview on television media after winning a race (noting that some race meetings don't have 'on course hosting' and thus interviews);
    - (ii) provide a race day comment for media (likely television) after riding a runner in a Group 1 race and/or any race valued at or over \$1 million in total prizemoney;
    - (iii) If invited use all reasonable endeavours to attend the annual Victorian Racing Awards Event;
    - (iv) participate in at least two (2) promotional shoots as advised by RV during each season (such shoots will be conducted at race meetings where the Jockey is already engaged to ride):
    - (v) be available during a race day for six (6) activations/appearances during the season (this is at the request of RV and will be conducted at race meetings where the Jockey is already engaged to ride). These activations. Activations/appearances do not to include the other requirements listed at (i) to (iv) above.

#### 5. JOCKEY LICENCE

(Including Visiting Jockey Licence)

#### 5.1 Background to a Licence

The Jockey Licence (**Jockey Licence**) is granted to experienced, professional Jockeys. A holder of a Jockey Licence has no restrictions or limitations as to where they can ride in flat races, other than picnic race meetings, and is eligible for annual entitlements and benefits.

Once granted, this Jockey Licence remains active between the period of 1 August and 31 July each year unless cancelled, suspended or revoked.

#### 5.2 Requirements for the grant of a Jockey Licence

In addition to satisfying the "General Requirements for the Grant of Jockey Licences" as outlined in part 3 of this Policy, it is a precondition to the grant of a Jockey Licence that the Applicant:

- (a) Experience:
  - (i) has completed an apprenticeship under the Rules or the Rules of another PRA: and
  - (ii) has, if race-ridden in the 12 months prior to the date of the application being made, been assessed by the Riders Skills Panel and as deemed necessary by the Riders Skills Panel ridden in a specified number of jump outs to the satisfaction of the Riders Skills Panel within the specified period; or
  - (iii) has, if not race-ridden in the 12 months prior to the date of the application being made, to ride in a minimum of five (5) jump outs to the satisfaction

- of the Riders Skills Panel (to be completed within three (3) months from the time that the requirement is applied by RV); or
- (iv) has, if not race-ridden in the 24 months prior to the date of the application being made, ridden in a minimum of 10 jump outs to the satisfaction of the Riders Skills Panel (to be completed within three (3) months from the time that the requirement is applied by RV).
- (b) Criteria: has attained the Certificate IV in Racing (Jockey) qualification in accordance with the National Racing Training Package (or recognised equivalent qualification).
- (c) Acknowledges the grant of a Jockey Licence is subject to meeting the minimum required rides for a season (see 5.4(b) below) and the Additional Criteria set out in this Policy.

## 5.3 Official Trials Permit (flat)

Following satisfactory completion of all the requirements in clause 5.2, and all other requirements detailed in 'General Requirements for the Grant of Jockey Licences', RV will grant an Applicant an Official Trials Permit (flat) to enable the Applicant to undertake the final stage of the Licence process (official trials):

Official Trials Permit (flat): the Official Trials Permit-holder must:

- (a) if race-ridden in the 12 months prior to the date of the application being made, be assessed by the Riders Skills Panel and as deemed necessary by the Riders Skills Panel ride in a specified number of official trials to the satisfaction of the Riders Skills Panel within the specified period; or
- (b) if not race-ridden in the 12 months prior to the date of the application being made, ride in a minimum of five (5) official flat trials to the satisfaction of the Riders Skills Panel (to be completed within three (3) months from the time that the requirement is applied by RV); or
- (c) if not race-ridden in the 24 months prior to the date of the application being made, ride in a minimum of 10 official flat trials to the satisfaction of the Riders Skills Panel (to be completed within six (6) months from the time that the requirement is applied by RV).

An Applicant will not be granted a Jockey Licence until they have been deemed to satisfy sections 3, 4, 5.2 and 5.3 of this Policy.

## 5.4 Jockey Licence Additional Criteria

In addition to any obligations, requirements and conditions as provided by this Policy or the Rules, an Applicant must agree and acknowledge that, following a grant of a Jockey Licence, the conditions set out below will apply:

- (a) Permission to ride: Further to section 4(a) of this Policy, the Jockey Licence-holder may ride horses in:
  - (i) Flat races conducted at Provincial and Metropolitan race meetings (except high-weight races and races restricted to Apprentices).
- (b) Minimum rides: Per racing season, the Jockey Licence-holder is required to have a minimum of:
  - (i) 24 race rides in Victoria.

Jockeys who have been unable to take rides due to a riding related injury or other factors for part of the racing season, may be able to claim a pro-rata adjustment to the minimum ride requirements subject to RV discretion.

Jockeys who fail to meet the minimum ride requirements will be required to show cause as to why they should be able to retain their licence.

Interstate or international rides will not be considered in the initial assessment of minimum ride requirements. RV may consider them as part of the show cause process however it is entirely at RV's discretion whether these are considered in determining the final decision for licence allocation.

The show cause process will consider factors such as:

- Injury;
- Personal reasons;
- Non-Victorian rides;
- Previous licence history;

however, it should be clear to all that any decision on licence allocation is at the discretion of RV and the most critical factor will remain the minimum rides requirement. Any decision to not rely on the minimum rides requirement is likely to be extremely rare.

Note: This condition does not apply to visiting Jockey Licence-holders.

## 5.5 Ticketing and Car Parking

Subject to ongoing compliance with the terms and conditions of their Jockey Licence, a Jockey Licence-holder receives for the period of the Jockey Licence, the following annual entitlements and benefits:

- (a) Ticketing: Raceday Service Identification Card (permitting access to all 'Horse Areas' whilst on official duties, and 'General Public Areas');
- (b) Guest Ticketing: Annual Admission Card (permitting access to General Public Areas); and
- (c) Car Parking (where applicable): Raceday Service Car Park Pass (permitting access to Jockey-only designated parking on course).

## 5.6 Visiting Jockey Ticketing and Car Parking

Subject to ongoing compliance with the terms and conditions of their visiting Jockey Licence, a visiting Jockey Licence-holder receives for the period of the visiting Jockey Licence, the following annual entitlements and benefits:

(a) Ticketing: Raceday Service Identification Card (permitting access to all 'Horse Areas' whilst on official duties, and 'General Public Areas').

## 6. JUMPS JOCKEY 'A' LICENCE

(Including Visiting Jumps Jockey 'A' Licence)

## 6.1 Background to a Licence

Formally known as the Jockey 'A' Cross Country Licence, the Jumps Jockey 'A' Licence (**Jumps A Licence**) is generally only granted to the most senior and experienced jumps jockeys. A holder of a Jumps A Licence has minimal restrictions

or limitations as to where they can ride (refer "Jumps A Licence conditions" below) and is eligible for annual entitlements and benefits.

Once granted, this Jumps A Licence remains active between the period of 1 August and 31 July each year unless cancelled, suspended or revoked.

## 6.2 Requirements for the grant of a Jumps A Licence

In addition to satisfying the "General Requirements for the Grant of Jockey Licences" as outlined in part 3 of this Policy, it is a precondition to the grant of a Jumps A Licence that the Applicant:

#### (a) Experience:

- (i) has held a Jumps Jockey 'B' licence (or its equivalent) for a minimum of four (4) years; or
- (ii) has out ridden their claim as per LR 91C; or
- (iii) has completed an apprenticeship under the Rules; or
- (iv) is or has been the holder of a Jockey 'A' or Jockey Licence; and
- (v) has, if race-ridden in the 12 months prior to the date of the application being made, been assessed by the Riders Skills Panel and as deemed necessary by the Riders Skills Panel ridden in a specified number of jump outs (flat) to the satisfaction of the Riders Skills Panel within the specified period; or
- (vi) if not adequately race-ridden in the 12 months prior to the date of the application being made, ridden in a minimum of five (5) jump outs (flat) to the satisfaction of the Riders Skills Panel (to be completed within three (3) months from the time that the requirement is applied by RV); or
- (vii) if not adequately race-ridden in the 24 months prior to the date of the application being made, ridden in a minimum of 10 jump outs (flat) to the satisfaction of the Riders Skills Panel (to be completed within three (3) months from the time that the requirement is applied by RV); and
- (viii) has, if not adequately race-ridden **in jumps races** in the 12 months prior to the date of the application being made, schooled horses to the satisfaction of the Riders Skills Panel.

#### (b) Criteria:

- (i) has attained the following qualifications in accordance with the National Racing Training Package (or recognised equivalent qualification):
  - a. Certificate IV in Racing (Jockey); and/or
  - b. Jumps Accreditation Program.

Note: If the applicant holds a Jockey Licence the applicant must have attained the following units:

- i. RGRPSH406 Develop riding skills for jumping racing
- ii. RGRPSH416 Perform trial and race jumping riding skills
- (c) If a Jumps Jockey holding a Jumps B Licence upgrades their licence to a Jumps A Licence, they must have obtained a minimum of a Certificate III in Racing (Track rider) which must have included the following units:
  - i. RGRPSH405 Ride horses in jump outs;
  - ii. RGRPSH413 Prepare for race riding;
  - iii. RGRPSH406 Develop riding skills for jumping racing; and
  - iv. RGRPSH416 Perform trial and race jumping riding skills.
- (d) Acknowledges the grant of a Jumps A Licence is subject to meeting the minimum required rides for a season (see 6.4(b) below) and the additional criteria set out in this Policy.

## 6.3 Official Trials Permit (jump)

Following satisfactory completion of all the requirements in clause 6.2, and all other requirements detailed in 'General Requirements for the Grant of Jockey Licences', RV will grant an Applicant an Official Trials Permit (jump) to enable the Applicant to undertake the final stage of the Licence process (official trials):

Official Trials Permit (jump): the Official Trials Permit-holder must:

- (a) if race-ridden in the 12 months prior to the date of the application being made, be assessed by the Riders Skills Panel and Jumps Review Panel and as deemed necessary by the Riders Skills Panel and Jumps Review Panel ride in a specified number of flat and jumps official trials to the satisfaction of the Riders Skills Panel and Jumps Review Panel within the specified period; or
- (b) if not race-ridden in the 12 months prior to the date of the application being made, ride in a minimum of five (5) official flat trials <u>and</u> five (5) official jumps trials to the satisfaction of the Riders Skills Panel and Jumps Review Panel (to be completed within six (6) months from the time that the requirement is applied by RV); or
- (c) if not race-ridden in the 24 months prior to the date of the application being made, ride in a minimum of 5 official flat trials <u>and</u> 10 official jumps trials to the satisfaction of the Riders Skills Panel and Jumps Review Panel (to be completed within six (6) months from the time that the requirement is applied by RV).

An Applicant will not be granted a Jumps Jockey A Licence until they have been deemed to satisfy clauses 3, 4, 6.2 and 6.3 of this Policy.

# 6.4 Jumps A Licence conditions

In addition to any obligations, requirements and conditions as provided by this Policy or the Rules, an Applicant must agree and acknowledge that, following a grant of a Jumps A Licence, the conditions set out below will apply:

- (a) Permission to ride: Further to section 4(a) of this Policy, the Jumps A Licence-holder may ride horses in:
  - (i) Official jumps and flat trials;
  - (ii) Jumping races;
  - (iii) High-weight races;
  - (iv) Flat races conducted at Provincial and Metropolitan race meetings (except races restricted to Apprentices).
- (b) Minimum rides: Per racing season, the Jumps A Licence-holder is required to have a minimum of:
  - (i) 12 jumps race rides in Victoria.

Jockeys who have been unable to take rides due to a riding related injury or other factors for part of the racing season, may be able to claim a pro-rata adjustment to the minimum ride requirements subject to RV discretion.

Jockeys who fail to meet the minimum ride requirements will be required to show cause as to why they should be able to retain their licence.

Interstate or international rides will not be considered in the initial assessment of minimum ride requirements. RV may consider them as part of the show

cause process however it is entirely at RV's discretion whether these are considered in determining the final decision for licence allocation.

The show cause process will consider factors such as:

- Injury;
- Personal reasons;
- Non-Victorian rides:
- Previous licence history;

however, it should be clear to all that any decision on licence allocation is at the discretion of RV and the most critical factor will remain the minimum rides requirement. Any decision to not rely on the minimum rides requirement is likely to be extremely rare.

Note: This condition does not apply to visiting Jumps A Licence-holders.

## 6.5 Ticketing and Car Parking

Subject to ongoing compliance with the terms and conditions of their Jumps A Licence, a Jumps A Licence-holder receives for the period of the Jumps A Licence, the following annual entitlements and benefits:

- (a) Ticketing: Raceday Service Identification Card (permitting access to all Horse Areas whilst on official duties, and General Public Areas);
- (b) Guest Ticketing: Annual Admission Card (permitting access to General Public Areas); and
- (c) Car Parking (where applicable): Raceday Service Car Park Pass (permitting access to Jockey-only designated parking on course).

## 6.6 Visiting Jockey Ticketing and Car Parking

Subject to ongoing compliance with the terms and conditions of their visiting Jumps A Licence, a visiting Jumps A Licence-holder receives for the period of the visiting Jumps A Licence, the following annual entitlements and benefits:

(a) Ticketing: Raceday Service Identification Card (permitting access to all Horse Areas whilst on official duties, and General Public Areas).

#### 7. JUMPS JOCKEY 'B' LICENCE

(Including Visiting Jumps Jockey 'B' Licence)

#### 7.1 Background to a Licence

Formally known as the Jockey 'B' Cross Country Licence, the Jumps Jockey 'B' Licence (Jumps B Licence) is granted to jumps Jockeys with limited experience. Generally, Applicants for a Jumps B Licence have not completed an apprenticeship under the Rules. A holder of a Jumps B Licence has a number of restrictions or limitations as to where they can ride (refer "Jumps B Licence conditions" below) and is eligible for annual entitlements and benefits.

Once granted, this Jumps B Licence remains active between the period of 1 August and 31 July each year unless cancelled, suspended or revoked.

## 7.2 Requirements for the grant of a Jumps B Licence

In addition to satisfying the "General Requirements for the Grant of Jockey Licences" as outlined in part 3 of this Policy, it is a precondition to the grant of a Jumps B Licence that the Applicant:

#### (a) Experience:

- (i) has, if race-ridden in the 12 months prior to the date of the application being made, been assessed by the Jumps Review Panel and as deemed necessary by the Jumps Review Panel ridden in a specified number of jump outs (flat) to the satisfaction of the Jumps Review Panel within the specified period; or
- (ii) if not adequately race-ridden in the 12 months prior to the date of the application being made, ridden in a minimum of five (5) jump outs (flat) to the satisfaction of the Jumps Review Panel (to be completed within three (3) months from the time that the requirement is applied by RV); or
- (iii) if not adequately race-ridden in the 24 months prior to the date of the application being made, ridden in a minimum of 10 jump outs (flat) to the satisfaction of the Jumps Review Panel (to be completed within three (3) months from the time that the requirement is applied by RV); and
- (iv) if not adequately race-ridden in jumps races in the 12 months prior to the date of the application being made, schooled horses to the satisfaction of the Jumps Review Panel; or
- (v) if never race-ridden prior to the date of the application being made, ridden in a minimum of 30 jump outs (flat) to the satisfaction of the Jumps Review Panel (to be completed within 12 months from the time that the requirement is applied by RV).

#### (b) Criteria:

- (i) has attained the following qualification in accordance with the National Racing Training Package (or recognised equivalent qualification):
  - a. Jumps Accreditation Program;
  - b. Certificate III in Racing (Track rider);
  - c. The applicant must have attained the following units as part of the Certificate III in Racing or in addition to it:
    - i. RGRPSH406 Develop riding skills for jumping racing;
    - ii. RGRPSH413 Prepare for race riding;
    - iii. RGRPSH416 Perform trial and race jumping riding skills; and
    - iv. RGRPSH405 Ride horses in jump outs.
- (c) Acknowledges the grant of a Jumps B Licence is subject to meeting the minimum required rides for a season (see 7.4(b) below) and the additional criteria set out in this Policy.

#### 7.3 Official Trials Permit (jump)

Following satisfactory completion of all the requirements in clause 7.2, and all other requirements detailed in 'General Requirements for the Grant of Jockey Licences', RV will grant an Applicant an Official Trials Permit (jump) to enable the Applicant to undertake the final stage of the licence process (official trials):

The Official Trials Permit holder must:

(a) if race-ridden in the 12 months prior to the date of the application being made, be assessed by the Jumps Review Panel and as deemed necessary by the Jumps Review Panel ride in a specified number of (flat) and (jumps) official trials to the satisfaction of the Jumps Review Panel within the specified period; or

- (b) if not race-ridden in the 12 months prior to the date of the application being made, ride in a minimum of five (5) official (flat) trials **and** five (5) official (jumps) trials to the satisfaction of the Jumps Review Panel (to be completed within six (6) months from the time that the requirement is applied by RV); or
- (c) if not race-ridden in the 24 months prior to the date of the application being made, ride in a minimum of five (5) official (flat) trials <u>and</u> 10 official (jumps) trials to the satisfaction of the Jumps Review Panel (to be completed within six (6) months from the time that the requirement is applied by RV); or
- (d) if never race-ridden prior to the date of the application being made; ride in a minimum of 10 official (flat) trials <u>and</u> 20 official (jumps) trials to the satisfaction of the Jumps Review Panel (to be completed within 12 months from the time that the requirement is applied by RV).

An Applicant will not be granted a Jumps Jockey B Licence until they have been deemed to satisfy clauses 3, 4, 7.2 and 7.3 of this Policy.

# 7.4 Jumps B Licence Conditions

In addition to any obligations, requirements and conditions as provided by this Policy or the Rules, an Applicant must agree and acknowledge that, following a grant of a Jumps B Licence, the conditions set out below will apply:

- (a) Permission to ride: Further to section 4(a) of this Policy, the Jumps B Licence-holder may ride horses in:
  - (i) official jumps and flat trials;
  - (ii) high-weight races conducted at Provincial race meetings only; and
  - (iii) jump races conducted at Provincial race meetings only.
- (b) Minimum rides: Per racing season, the Jumps B Licence-holder is required to have a minimum of:
  - (i) 12 jumps race rides in Victoria.

Jockeys who have been unable to take rides due to a riding related injury or other factors for part of the racing season, may be able to claim a pro-rata adjustment to the minimum ride requirements subject to RV discretion.

Jockeys who fail to meet the minimum ride requirements will be required to show cause as to why they should be able to retain their licence.

Interstate or international rides will not be considered in the initial assessment of minimum ride requirements. RV may consider them as part of the show cause process however it is entirely at RV's discretion whether these are considered in determining the final decision for licence allocation.

The show cause process will consider factors such as:

- Injury;
- Personal reasons;
- Non-Victorian rides;
- Previous licence history;

however, it should be clear to all that any decision on licence allocation is at the discretion of RV and the most critical factor will remain the minimum rides requirement. Any decision to not rely on the minimum rides requirement is likely to be extremely rare.

Note: This condition does not apply to visiting Jumps B Licence-holders.

# 7.5 Ticketing and Car Parking

Subject to ongoing compliance with the terms and conditions of their Jumps B Licence, a Jumps B Licence-holder receives for the period of the Jumps B Licence, the following annual entitlements and benefits:

- (a) Ticketing: Raceday Service Identification Card (permitting access to all Horse Areas whilst on official duties, and General Public Areas);
- (b) Guest Ticketing: Annual Admission Card (permitting access to General Public Areas); and
- (c) Car Parking (where applicable): Raceday Service Car Park Pass (permitting access to Jockey only designated parking on course).

## 7.6 Visiting Jockey Ticketing and Car Parking

Subject to ongoing compliance with the terms and conditions of their visiting Jumps B Licence, a visiting Jumps B Licence-holder receives for the period of the visiting Jumps B Licence, the following annual entitlements and benefits:

(a) Ticketing: Raceday Service Identification Card (permitting access to all Horse Areas whilst on official duties, and General Public Areas).

#### 8. PICNIC JOCKEY LICENCE

(Including Visiting Picnic Jockey Licence)

#### 8.1 Background to a Licence

Formally known as an "approval to ride at picnic meetings". Generally, Applicants for a Picnic Jockey Licence (**Picnic Licence**) have not completed an apprenticeship under the Rules. A holder of a Picnic Licence is restricted to riding at picnic race meetings only (refer "Picnic Licence conditions" below) and is eligible for annual entitlements and benefits.

Once granted, this Picnic Licence remains active between the period of 1 August and 31 July each year unless cancelled, suspended or revoked.

#### 8.2 Requirements for the grant of a Picnic Licence

In addition to satisfying the "General Requirements for the Grant of Jockey Licences" as outlined in part 3 of this Policy, it is a precondition to the grant of a Picnic Licence that the Applicant:

#### (a) Experience:

- (i) has <u>not</u> ridden more than 20 (flat) winners at Provincial or Metropolitan race meetings (not including Non-TAB race meetings) conducted by registered clubs;
- (ii) has <u>not</u> been at any time within the previous five (5) years licensed as a Bookmaker; and
- (iii) has, if race-ridden in the 12 months prior to the date of the application being made, been assessed by the Riders Skills Panel and as deemed necessary by the Riders Skills Panel ridden in a specified number of jump outs to the satisfaction of the Riders Skills Panel within the specified period; or

- (iv) if not adequately race-ridden in the 12 months prior to the date of the application being made, ridden in a minimum of five (5) jump outs (flat) to the satisfaction of the Riders Skills Panel (to be completed within three (3) months from the time that the requirement is applied by RV); or
- (v) if not adequately race-ridden in the 24 months prior to the date of the application being made, ridden in a minimum of 10 jump outs (flat) to the satisfaction of the Riders Skills Panel (to be completed within three (3) months from the time that the requirement is applied by RV); or
- (vi) if never race-ridden prior to the date of the application being made, ridden in a minimum of 20 jump outs (flat) to the satisfaction of the Riders Skills Panel (to be completed within 12 months from the time that the requirement is applied by RV).

#### (b) Criteria:

- (i) The person must have undertaken and successfully completed the following required training units set out in the National Racing Industry Training Package (or recognised equivalent units):
  - i. Certificate III in Racing (Track rider) including;
  - ii. RGRPSH405 Ride horses in jump outs;
  - iii. RGRPSH414 Ride horses in trials; and
  - iv. RGRPSH413 Prepare for race riding.
- (ii) Race Riding Criteria;
- (c) Acknowledges the grant of a Picnic Licence is subject to meeting the minimum required rides for a season (see 8.4(b) below) and the additional criteria set out in this Policy.

#### 8.3 Official Trials Permit (flat)

Following satisfactory completion of all the requirements in clause 8.2, and all other requirements detailed in 'General Requirements for the grant of Jockey Licences', RV will grant an Applicant an Official Trials Permit (flat) to enable the Applicant to undertake the final stage of the licence process (official trials):

Official Trials Permit (flat): the Official Trials Permit holder must:

- (a) if race-ridden in the 12 months prior to the date of the application being made, be assessed by the Riders Skills Panel and as deemed necessary by the Riders Skills Panel ride in a specified number of official trials to the to the satisfaction of the Riders Skills Panel within the specified period; or
- (b) if not race-ridden in the 12 months prior to the date of the application being made, ride in a minimum of five (5) official flat trials to the satisfaction of the Riders Skills Panel (to be completed within three (3) months from the time that the requirement is applied by RV); or
- (c) if never race-ridden, or not race-ridden in the 24 months prior to the date of the application being made, ride in a minimum of 10 official flat trials to the satisfaction of the Riders Skills Panel (to be completed within six (6) months from the time that the requirement is applied by RV).

An Applicant will not be granted a Picnic Jockey Licence until they have been deemed to satisfy clauses 3, 4, 8.2 and 8.3 of this Policy.

#### 8.4 Picnic Licence conditions

In addition to any obligations, requirements and conditions as provided by this Policy or the Rules, an Applicant must agree and acknowledge that, following a grant of a Picnic Licence, the conditions set out below will apply:

- (a) Permission to ride: further to section 4(a) of this Policy, the Picnic Licence holder may only ride horses in flat races conducted at picnic race meetings.
- (b) Minimum rides: per racing season, the Picnic Licence-holder is required to have a minimum of:
  - (i) 12 picnic race rides in Victoria.

Jockeys who have been unable to take rides due to a riding related injury or other factors for part of the racing season, may be able to claim a pro-rata adjustment to the minimum ride requirements subject to RV discretion.

Jockeys who fail to meet the minimum ride requirements will be required to show cause as to why they should be able to retain their licence.

Interstate or international rides will not be considered in the initial assessment of minimum ride requirements. RV may consider them as part of the show cause process however it is entirely at RV's discretion whether these are considered in determining the final decision for licence allocation.

The show cause process will consider factors such as:

- Injury;
- Personal reasons:
- Non-Victorian rides;
- Previous licence history;

however, it should be clear to all that any decision on licence allocation is at the discretion of RV and the most critical factor will remain the minimum rides requirement. Any decision to not rely on the minimum rides requirement is likely to be extremely rare.

Note: This condition does not apply to visiting Picnic Licence-holders.

(c) Official Trials Permit (jump): a Picnic Licence-holder may also hold a Official Trials Permit (jump) for the purposes of riding in official jumps trials with the view to obtaining a Jumps Jockey 'B' Licence.

## 8.5 Ticketing and Car Parking

Subject to ongoing compliance with the terms and conditions of their Picnic Licence, a Picnic Licence-holder receives for the period of the Picnic Licence, the following annual entitlements and benefits:

- (a) Ticketing: Raceday Service Identification Card (permitting access to all Horse Areas whilst on official duties, and General Public Areas);
- (b) Guest Ticketing: Annual Admission Card (permitting access to General Public Areas); and
- (c) Car Parking (where applicable): Raceday Service Car Park Pass (permitting access to Jockey-only designated parking on course).

## 8.6 Visiting Jockey Ticketing and Car Parking

Subject to ongoing compliance with the terms and conditions of their visiting Picnic Licence, a visiting Picnic Licence-holder receives for the period of the visiting Picnic Licence, the following annual entitlements and benefits:

(a) Ticketing: Raceday Service Identification Card (permitting access to all Horse Areas whilst on official duties, and General Public Areas).

#### 9. APPRENTICE JOCKEY LICENCE

(Including Visiting Apprentice Jockey Licence)

## 9.1 Background to a Licence

Formally known as an Apprentice 'A' or 'B' Permit, the Apprentice Jockey Licence (Apprentice Licence) is granted to new and inexperienced Jockeys. Generally, Applicants for an Apprentice Licence have ridden track work for many years and experienced riding in various equine pursuits, such as pony club associations and the performance horse industry. A holder of an Apprentice Licence has some restrictions and limitations as to where they can ride and is eligible for annual entitlements and benefits.

Once an Apprentice Licence is granted, the Apprentice Licence-holder is classified as an Apprentice Jockey 'B'. However, once the Apprentice has accumulated a number of winners as determined by Stewards, RV may reclassify the Apprentice Licence-holder to an Apprentice Jockey 'A'. Refer "Apprentice Licence conditions" below.

Once granted, this Apprentice Licence remains active between the period of 1 August and 31 July each year unless cancelled, suspended or revoked.

## 9.2 Requirements for the grant of an Apprentice Licence

In addition to satisfying the "General Requirements for the Grant of Jockey Licences" as outlined in part 3 of this Policy, it is a precondition to the grant of an Apprentice Licence that the Applicant:

#### (a) Experience:

(i) if making an application to ride on loan in Victoria (from an interstate or overseas-based PRA), has ridden a minimum of 20 winners in the Metropolitan area or 80 winners in total, at race meetings covered by the TAB based on Victorian race classifications, or unless otherwise approved by RV based on special circumstances.

In accordance with AR 143 (15) all overseas winners are treated as winning rides in an Australian metropolitan area, including New Zealand Winning rides in flat races held at New Zealand Premier meetings (as defined by the New Zealand Thoroughbred Racing (Inc)). Winning rides in flat races at all other New Zealand meetings will be regarded as winning rides in an Australian provincial area, except that for the purposes of this rule a winning ride in a Group or Listed race at any race meeting in New Zealand will be deemed a winning ride in an Australian metropolitan area.

- (ii) has been accepted and enrolled in RV's Apprentice Jockey Program in order to participate in Certificate IV in Racing (Jockey);
- (iii) together with the licensed trainer, has completed the Apprentice Employment Agreement and Deed of Apprenticeship; and

- (iv) has, if not race-ridden, been observed by RV to ride satisfactorily in track work;
- (b) Acknowledges the grant of an Apprentice Licence is subject to meeting the minimum required rides for a season (see 9.3(h) below) and the additional criteria set out in this Policy.

## 9.3 Apprentice Licence conditions

In addition to any obligations, requirements and conditions as provided by this Policy or the Rules, an Applicant must agree and acknowledge that, following a grant of an Apprentice Licence, the conditions set out below will apply:

- (a) Accreditation: an apprentice may only be employed with an accredited trainer in accordance with RV's Trainer's Apprentice Jockey Employment Accreditation Process;
- (b) Deed of Apprenticeship: an apprentice must adhere to all obligations of their Deed of Apprenticeship. Failure to do so may result in the Apprentice Jockey Licence being revoked.
- (c) Approval for riding in official trials and jump outs: an apprentice may not ride in any official trial, jump out or other trial until the apprentice has been observed by the Stewards and/or the Riders Skills Panel to ride satisfactorily in track work.
- (d) Jump outs following satisfactory completion of all the requirements in clause 9.2, and all other requirements detailed in 'General Requirements for the Grant of Jockey Licences', an Apprentice Licence-holder must complete:
  - i. if not adequately race-ridden in the 12 months prior to the application being made, ridden in a minimum of five (5) jump outs to the satisfaction of Riders Skills Panel (to be completed within three (3) months from the time that the requirement is applied by RV); or
  - ii. if not adequately race-ridden in the 24 months prior to the date of the application being made, ridden in a minimum of 10 jump outs to the satisfaction of the Riders Skills Panel (to be completed within three (3) months from the time that the requirement is applied by RV); or
  - iii. if never race-ridden prior to the date of the application being made, ridden in a minimum of 20 jump outs to the satisfaction of the Riders Skills Panel (to be completed within 12 months from the time that the requirement is applied by RV).
  - (e) Official Trials Permit Following satisfactory completion of all the requirements in clause 9.2, 9.3 (c), and all other requirements detailed in 'General Requirements for the Grant of Jockey Licences', RV will grant an Apprentice Licence-holder an Official Trials Permit to enable the Apprentice Licence-holder to participate in official trials and complete the final stage to obtain permission to ride in races. An Apprentice Licence-holder must complete:
    - i. if race-ridden in the 12 months prior to the date of the application being made, be assessed by the Riders Skills Panel and as deemed necessary by the Riders Skills Panel ridden in a specified number of official trials to the to the satisfaction of the Riders Skills Panel within the specified period; or
    - ii. if not race-ridden in the 12 months prior to the date of the application being made, ride in a minimum of five (5) official flat

- trials to the satisfaction of the Riders Skills Panel (to be completed within three (3) months from the time that the requirement is applied by RV); or
- iii. if not race-ridden in the 24 months prior to the date of the application being made, ride in a minimum of 10 official flat trials to the satisfaction of the Riders Skills Panel (to be completed within six (6) months from the time that the requirement is applied by RV); or
- iv. if never race ridden, ridden in a minimum of 10 official flat trials (of which at least two (2) must be of 1,200 meters or further and with the last two (2) official trials being under race day conditions) to the satisfaction of the Riders Skills Panel (to be completed within 12 months from the time that the requirement is applied by RV).
- (f) Permission to ride: following satisfactory completion of all the requirements in clause 9.2, 9.3 (c), 9.3 (d), and all other requirements detailed in 'General Requirements for the Grant of Jockey Licences' an Apprentice Licence-holder will be granted permission to ride in races. In addition to section 4(a) of this Policy, the Apprentice Licence-holder may ride horses in flat races conducted at Provincial and Metropolitan race meetings (except high-weight races and picnic race meetings) in accordance with the requirements contained in RV's Apprentice Jockey Guidelines.
- (g) First Ride at Track: no later than two (2) hours prior to their first ride at a particular track, an Apprentice Licence-holder must attend the Stewards' Room and advise the Stewards that they are engaged to ride at the racecourse for the first time.
- (h) Days of Riding: notwithstanding the deed of apprenticeship regarding fulfilment of riding commitments:
  - (i) an Apprentice Jockey cannot ride on seven (7) consecutive race days.;
  - (ii) each Apprentice Jockey must have a minimum of two (2) days without race riding in each 14-day period;
  - (iii) an Apprentice Jockey cannot accept rides for both a day and a twilight/night race meeting on the same day; and
  - (iv) if an Apprentice Jockey rides at a twilight or night race meeting, they must have a minimum of a 10 hour break prior to engaging in any trackwork or race riding activity.
- (i) Minimum rides: per racing season, the Apprentice Licence-holder is required to have a minimum of:
  - (i) 24 race rides in Victoria.

Apprentice Jockeys who have been unable to take rides due to a riding related injury or other factors for part of the racing season, may be able to claim a prorata adjustment to the minimum ride requirements subject to RV discretion.

Apprentice Jockeys who fail to meet the minimum ride requirements will be required to show cause as to why they should be able to retain their licence.

Interstate or international rides will not be considered in the initial assessment of minimum ride requirements. RV may consider them as part of the show cause process however it is entirely at RV's discretion whether these are considered in determining the final decision for licence allocation.

The show cause process will consider factors such as:

- Injury;
- Personal reasons;
- Non-Victorian rides;

• Previous licence history; however, it should be clear to all that any decision on licence allocation is at the discretion of RV and the most critical factor will remain the minimum rides requirement. Any decision to not rely on the minimum rides requirement is

likely to be extremely rare.

Note: This condition does not apply to visiting Apprentice Licence-holders.

- (j) Jumps Jockey Licence: subject to approval by RV, an Apprentice Licence holder who is over 17 years of age may also hold a Jumps Jockey 'B' licence or Jumps Jockey 'A' licence for the purposes of riding in official jumps trials or jumps races. This is also subject to meeting the relevant criteria of the respective jumping licences outlined within this Policy.
- (k) RV's Apprentice Jockey Race Riding Guidelines: the Apprentice Licence-holder is subject to RV's Apprentice Jockey Race Riding Guidelines as in place and amended from time to time.
- (I) Application of RV's Apprentice Jockey Race Riding Guidelines:
  - i. RV's Apprentice Jockey Race Riding Guidelines apply to all Apprentice Licence-holders from 1 August 2017.
  - ii. An apprentice who was granted an Apprentice Licence before 1 August 2017 may apply to ride in race meetings up to the highest Tier level (as defined in RV's Apprentice Jockey Race Riding Guidelines) in which they had previously been granted approval to ride by the Stewards.
  - iii. For the avoidance of doubt, and unless otherwise determined by RV, an Apprentice Licence-holder who:
    - had ridden in a Tier 4 race meeting prior to 1 August 2017 may accept rides in Tier 1, 2, 3 and 4 race meetings;
    - had ridden in a Tier 3 race meeting prior to 1 August 2017 may accept rides in Tier 1 and 2 race meetings and must apply to the Stewards for daily permission to ride in any further Tier 3 race meeting:
    - had ridden in a Tier 2 race meeting prior to 1 August 2017 may accept rides in Tier 1 and 2 race meetings;
    - had ridden in Tier 1 race meetings only prior to 1 August 2017 may only accept rides in Tier 1 race meetings.
  - iv. Any application by an apprentice who was granted an Apprentice Licence before 1 August 2017 to ride in a higher Tier race meeting than that in which they had previously been granted approval to ride by the Stewards must be made in accordance with, and upon satisfaction of any requirements contained in, the RV's Apprentice Jockey Race Riding Guidelines.
- (m) Apprentice Jockey 'A' Licence: unless otherwise approved by R V, upgrading from an Apprentice Jockey 'B' Licence to an Apprentice Jockey 'A' Licence is at RV's discretion and based on an assessment of riding ability made after observing the apprentice ride in races, and not until they have ridden 40 winners of which 10 or more must be in the Metropolitan Area. The Apprentice Licence-holder must also meet the required standards at the Victorian Apprentice Training Academy.

(n) Apprentice Jockey 'B' Licence: unless otherwise approved by RV, or as prescribed in Section 9.3(n) above, an Apprentice Licence-holder must only ride at Tier 1 race meetings (as defined in the RV Apprentice Jockey Race Riding Guidelines) until they have ridden 30 or more winners following which they may apply to the Stewards for permission to ride at other race meetings in accordance with the RV Apprentice Jockey Race Riding Guidelines.

# 9.4 Loan Applications for Victorian-based Apprentices

Subject to the Deed of Apprenticeship, the Victorian-based Apprentice Licence-holder may be placed on secondment with a borrowing trainer (Loan). A Loan will be for a minimum period of three (3) months, a request for extension to a maximum of six (6) months may be considered if RV determines it appropriate to extend the Loan based on the circumstances of the apprenticeship.

In these circumstances, the lending trainer remains entitled to a percentage of the Apprentice Licence holder's riding fees and percentages in accordance with the Deed of Apprenticeship during the Loan but may agree to pay a portion to the borrowing Trainer in consideration for the borrowing Trainer entering into, and performing their obligations under, the Loan.

## 9.5 Loan Applications for Interstate or Overseas-based Apprentices

Subject to approval from the interstate or overseas-based PRA, the visiting Apprentice Licence-holder may be placed on secondment within Victoria to a borrowing Victorian trainer.

Requirements for the grant of an Apprentice Licence to an interstate or overseas apprentice seeking to be \*on loan in Victoria must:

(i) have ridden a minimum of 20 winners in the metropolitan area or 80 winners in total at race meetings covered by the TAB based on Victorian race classifications, or unless otherwise approved by RV based on special circumstances.

In accordance with AR 143 (15) all overseas winners are treated as winning rides in an Australian metropolitan area, including New Zealand Winning rides in flat races held at New Zealand Premier meetings (as defined by the New Zealand Thoroughbred Racing (Inc)). Winning rides in flat races at all other New Zealand meetings will be regarded as winning rides in an Australian provincial area, except that for the purposes of this rule a winning ride in a Group or Listed race at any race meeting in New Zealand will be deemed a winning ride in an Australian metropolitan area.

- (ii) submit the Interstate/International Apprentice Jockey Loan Application to RV at least 10 business days prior to the proposed commencement date of the loan period which includes:
  - a) a Licensing/Stewards Report (Discipline/Behaviour)
  - b) their Race Riding Record
  - c) vision of the last 10 race rides
  - d) a full report from the relevant jurisdiction of their current claim and winning rides, and
  - e) applications submitted by a New Zealand based apprentice must be accompanied with their current claim recognising winning rides in flat races held at New Zealand Premier meetings (as defined by New Zealand Thoroughbred Racing) and all Group or Listed race at any race meeting in New Zealand.

Note: Licensing and a designated Steward will review the Race Riding Record, current claim, and winning rides to verify the claim allowance of the apprentice.

Note: An application does not guarantee acceptance. The Apprentice Jockey Panel can make a recommendation to RV's Licensing Panel to determine as to whether a loan application will be accepted.

The Licensing Panel will consider a Loan for an interstate apprentice to Victoria (subject to suitable loan periods) subject to the following criteria:

- 1. Applicant was originally a Victorian resident prior to commencing their apprenticeship in another State and/or have significant direct family located in Victoria.
- 2. Applicant has commenced Race Riding and submits vision of their last 10 race rides for review as per the Jockey Licensing Policy 9.5 (ii) therefore, are coming to Victoria to further their race riding experience AND has completed Certificate IV in Racing(Jockey), or has made arrangements with the PRA where apprenticeship is held to complete the required studies to attain a Certificate IV in Racing (Jockey), or its equivalent, in the state where the apprenticeship is held.
- 3. An initial Loan period of a maximum of three (3) months which will not be extended, unless they meet the criteria to be eligible to apply for a further loan period of an additional three (3) months or apply for transfer.
- 4. Before considering an application, the Licensing Panel will consider number of apprentice riders in Victoria at the time of the application in order to ensure appropriate race riding opportunities are available to applicable number of apprentice riders.
- (iii) submit to licensing a Visiting Apprentice Jockey Application form and meet all criteria for the grant of a Visiting Apprentice Jockey license in accordance with RV's Jockey Licensing Policy.

A secondment will usually be for a period of no longer than three (3) months, a request for extension to a maximum of six (6) months may be considered if RV determines it appropriate to extend the Loan based on the circumstances of the apprenticeship.

In these circumstances, the lending interstate or overseas-based trainer remains entitled to a percentage of the visiting Apprentice Licence-holder's riding fees and percentages in accordance with the Deed of Apprenticeship during the Loan but may agree to pay a portion to the borrowing Victorian trainer in consideration for the borrowing Victorian trainer entering into, and performing their obligations under, the Loan. The agreed portion of percentage of riding fees between the apprentice, borrowing and loaning trainer and agent (if applicable) will be consistent with current Victorian Apprentice maximum percentage (%) and documented and signed by all relevant parties in an Apprentice Loan Agreement.

Note: RV, in consultation with the Victoria's Jockeys Association (VJA), may determine to place a cap the number of interstate or overseas-based Apprentice Jockeys riding on loan in Victoria at any-one-time.

# 9.6 Transfer Applications for Victorian-based Apprentices

An application to transfer a Victorian-based apprenticeship to an interstate or overseas-based PRA should be made (in writing) to both racing authorities, at least 10 days prior to the Apprentice Licence-holder relocating and/or the Loan expiring.

## 9.7 Transfer Applications for Interstate or Overseas-based Apprentices

Requirements for an interstate/overseas apprentice seeking to transfer **permanently** to Victoria must:

- (i) in making an application the applicant must have completed a three (3)-month loan period with an accredited Victorian trainer.
- (ii) satisfactorily have completed Certificate IV in Racing (Jockey) or relevant equivalent qualification training in their residing country and or jurisdiction, as determined by RV.
- (iii) submit an Apprentice Jockey Application at least 30 days prior to the loan period expiring and meet all the criteria for the grant of an Apprentice Jockey Licence in accordance with RV's Jockey Licensing Policy.

Note: RV, in consultation with the VJA, may determine to place a cap on the number of Apprentice Jockeys transferring to Victoria at any one time. An application does not guarantee acceptance. The Apprentice Jockey Panel can make a recommendation to RV's Licensing Panel to determine as to whether a transfer application will be accepted.

The Licensing Panel will consider a permanent relocation to Victoria subject to the following criteria:

- 1. Applicant was originally a Victorian resident prior to commencing their apprenticeship in another State and/or have significant direct family located in Victoria.
- 2. Applicant has commenced race riding and submits vision of their last 10 race rides for review as per the Jockey Licensing Policy 9.5 (ii) therefore, are coming to Victoria to further their race riding experience AND has completed Certificate IV in Racing (Jockey).
- 3. Before considering an application, the Licensing Panel will consider number of apprentice riders in Victoria at the time of the application in order to ensure appropriate race riding opportunities are available to applicable number of apprentice riders.
- (iv) together with the accredited licensed trainer, complete the Deed of Apprenticeship.
- (v) RV reserves the right to approve or deny applications based on special circumstances.

## 9.8 Extension Applications for Victorian-based Apprentices

The term of a Victorian-based apprenticeship may be extended; however the maximum term of an Apprentice Licence must not be any longer than four years from the date the Apprentice was granted a permit to ride in races plus any cumulative injury or illness time loss (as evidenced by valid medical certification) from the permit to ride in races date.

Applications for an extension to an apprenticeship must be made by completing an 'Application to Extend Jockey Apprenticeship' form and must be accompanied by written support from the Apprentice Team. Applications must be submitted at least two months before the apprenticeship expiry date.

If the Apprentice Licence-holder has satisfied the above criteria, the RV Licensing Panel may, in its full discretion, extend the apprenticeship, but only if the Apprentice Licence-holder has also satisfied the below criteria:

- (a) Certificate IV Racing (Jockey);
- (b) Completion of RV's Apprentice Jockey Training Program
- (c) adherence to the RV Apprentice Code of Conduct;
- (d) demonstrated commitment to a career as a Jockey;
- (e) can utilise their existing metropolitan claim; and
- (f) a satisfactory behaviour and disciplinary record.

## 9.9 Ticketing and Car Parking

Subject to ongoing compliance with the terms and conditions of their Apprentice Licence, an Apprentice Licence-holder receives for the period of the Apprentice Licence, the following annual entitlements and benefits:

- (a) Ticketing: Raceday Service Identification Card (permitting access to all Horse Areas whilst on official duties, and General Public Areas);
- (b) Guest Ticketing: two (2) Annual Admission Cards (permitting access to General Public Areas); and
- (c) Car Parking (where applicable): Annual Car Park Pass (permitting access to Jockey only designated parking on course).

## 9.10 Visiting Apprentice Licence

Subject to meeting all other licensing requirements, the following conditions for a Visiting Apprentice Licence apply:

- Restricted to race riding in Victoria a maximum of three (3) race-days in any one
  (1) week period. "A Grade" Visiting Apprentices exempt from the maximum of
  three (3) days in any one week if race riding at Victorian Metropolitan Race
  meetings.
- Visiting Apprentices can ride trackwork whilst the visiting apprentice licence is current.
- These conditions remain in force unless otherwise determine by RV.

#### 9.11 Visiting Jockey Ticketing and Car Parking

Subject to ongoing compliance with the terms and conditions of their Apprentice Licence, an Apprentice Licence-holder receives for the period of the Apprentice Licence, the following annual entitlements and benefits:

(a) Ticketing: Raceday Service Identification Card (permitting access to all Horse Areas whilst on official duties, and General Public Areas).

#### 10. REVIEW AND APPROVAL

This Policy is reviewed annually and as required. This may occur due to a change of operation, new legislative obligation, government recommendations or recommendations as a result of audits.

Where revisions are required, changes will be tracked in red for review by the relevant Senior Management Team member, and for recommendation to the RV Board for approval.

If approved, a tracked, clean (both in Word) and PDF copy of the Policy will saved, and then sent to Legal, Risk and Compliance. A copy may also be provided to People & Culture for uploading onto RV's intranet (the Stable).

Any changes to the Policy are noted in the "version" table at section 11 below.

#### 11. VERSION

Version	Nature of Change	Reviewing Party	Approval
1	Update to minimum rides process, and clarification of the weigh in process within Section 3 (e ) (iii).	EGM – Integrity Services RV Board	1 March 2021
1.1	Removed weight allowances for Jumps Jockey B, Picnic Jockey and Apprentice Jockey. Updated section 9.	EGM - Integrity Services RV Board	15 February 2022
1.2	Updated Permission to Train licence conditions.	EGM - Integrity Services RV Board	14 June 2022
1.3	Updated required number of Apprentice Official Trials and included criteria for the Licensing Panel to consider interstate apprentices to come on loan or permanently relocate to Victoria.	EGM - Integrity Services RV Board	22 November 2022
1.4	Updated classification of Apprentice Jockey winning rides when coming on loan, included additional information to be provided as part of a loan application, and included a verification process to determine correct claim allowance.	EGM – Integrity Services RV Board	20 July 2023
2.1	Inclusion of Jockey betting restrictions as per Rules. Inclusion of Jockey requirements to advise RV in writing of retirement. Inclusion of media requirements for Jockeys as per newly introduced EBA.	EGM - ISD EGM - I&C RI & EW SC Board VRIB	1 August 2024
2.2	Inclusion of visiting licenses in general requirements.	EGM - ISD	30 September 2024